Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/2679/2019/749 By Special Messenger
Dated: 03 June, 2025

01. Mika Securities Limited
(DSE TREC No. 215)
Simpletree Lighthouse (9th Floor)
53, Kemal Ataturk Avenue, Banani, Dhaka-1213

02. Mr. A.A. Moniruzzaman
Managing Director & CEO
Mika Securities Limited.

03. Mr. Md. Showkat Akbar
Compliance Officer
Mika Securities Limited

04. Md. Taheruzzaman
In-Charge at Jashore Digital Booth
Mika Securities Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2679/2019/660, Date: 29 April, 2025 based on which the hearing was conducted on 19 May, 2025.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter ref: MSL/COMPLIANCE/BSEC-HEARING/2025/01 dated May 18, 2025 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

03/6/2025

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to all Commissioner, BSEC
3. P.O to Executive Director (MIS)
4. PO to Executive Director (MIAD) with reference to DSE letter No: DSE/MCD/BSEC/2024/019/6517, dated 27 October, 2024
5. PO to Director (Enforcement), BSEC
6. Managing Director, Dhaka Stock Exchange PLC.