Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3862/2024/522
Dated: 10 April, 2025

By Special Messenger

01. LankaBangla Securities Limited
(DSE TREC No. 132)
City Center (12th Floor), Unit # 12-C & D, 90/1
Motijheel C/A, Dhaka-1000.

02. Mr. Mohammed Nasir Uddin Chowdhury
Managing Director
LankaBangla Securities Limited

03. Mr. Khandoker Saffat Reza
Director, Chief Executive Officer and Compliance Authority
LankaBangla Securities Limited

04. Mr. Raihan Ahmed
Compliance Officer
LankaBangla Securities Limited

05. Mr. Mohib Ullah
In-charge of Chattogram Digital Booth
LankaBangla Securities Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3862/2024/159, Date: 22 January, 2025 based on which the hearing was conducted on 03 February, 2025.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated 03 February 2025 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

10/4/2025

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. PO to Commissioner (Enforcement), BSEC
3. PO to Executive Director (MIS)
4. PO to Executive Director (MIAD) with reference to DSE letter No: DSE/MCD/BSEC/2024/3215, dated 26 May 2024
5. PO to Director (Enforcement), BSEC
6. Managing Director, Dhaka Stock Exchange PLC.