Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3906/2025/737 By Special Messenger
Dated: 27 May, 2025

01. IIDFC Securities Limited
(DSE TREC No. 238)
Chamber Building (2nd Floor, East-North Side)
122-124, Motijheel, Dhaka-1000.

02. Mr. Md. Nazmul Hasan Chowdhury
Chief Executive Officer
IIDFC Securities Limited.

03. Md. Maruf Hossain Khan
Accounts In-Charge
IIDFC Securities Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3906/2025/668, Date: 04 May, 2025 based on which the hearing was conducted on 19 May, 2025.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter ref: IIDFCSL/Accounts/2025/244 dated May 18, 2025 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

27/5/2025

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to all Commissioner, BSEC
3. P.O to Executive Director (MIS)
4. PO to Executive Director (MIAD) with reference to DSE letter No: DSE/MCD/BSEC/2024/2446, dated 23 April, 2024
5. PO to Director (Enforcement), BSEC
6. Managing Director, Dhaka Stock Exchange PLC.