Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/2522/2018/757 **By Special Messenger/Courier**
Dated: June 16, 2025

1. Gateway Equity Resources Limited
   (DSE TREC Holder No. 157)
   Room # 150, DSE Tower (Level-08),
   Plot # 46, Road # 21, Nikunja-2, Dhaka-1229.
2. Mr. Md. Rezaul Karim
   Managing Director
   Gateway Equity Resources Limited
   Room # 150, DSE Tower (Level-08),
   Plot # 46, Road # 21, Nikunja-2, Dhaka-1229.
3. Mr. A.F.M Nasim Reza
   Compliance In-Charge
   Gateway Equity Resources Limited
   Room # 150, DSE Tower (Level-08),
   Plot # 46, Road # 21, Nikunja-2, Dhaka-1229.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future

This refers to the Commission's show cause cum hearing notice no: BSEC/Enforcement/2522/2018/698 dated May 20, 2025 based on which the hearing was conducted on June 02, 2024.

The Commission, considering your adjustment of irregularities vide letter dated June 01, 2025 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the show cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

16.06.2025

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Email: mahmudulhasan@sec.gov.bd

Copy for information:
PS to Chairman, BSEC.
PO to Commissioner (All), BSEC.
PO to Executive Director (MIAD), BSEC. With reference to SMMI Department note dated June 09, 2024.
PO to Executive Director (ICTD), BSEC.
PO to Executive Director (Enf.), BSEC.
Managing Director, Dhaka Stock Exchange PLC.