Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3387/2022/684 **By Special Messenger/Courier**
Dated: May 14, 2024

Managing Director
Delta Life Insurance Company Limited
Delta Life Tower, Plot#37, Road# 90, Gulshan Circle-2, Dhaka-1212.

Company Secretary
Delta Life Insurance Company Limited
Delta Life Tower, Plot#37, Road# 90, Gulshan Circle-2, Dhaka-1212.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3387/2022/1560 dated November 29, 2022 based on which the hearing was conducted on December 13, 2022.

The Commission, considering your degree of non-compliances vide letter No# DLICL/HO/2022-13623 dated December 12, 2022 at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the previously mentioned letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

By the order of Bangladesh Securities and Exchange Commission,

14.05.2025

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Email: mahmudulhasan@sec.gov.bd

Copy for information:
PS to Chairman, BSEC.
PO to Commissioner (All)
Executive Director (CAD) BSEC. With reference to (Corporate Reporting the then CFD) note dated October 16, 2022.
PO to Executive Director (ICTD), BSEC.