Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3835/2024/693 By Special Messenger
Dated: 17 May, 2025

01. DSFM Securities Ltd.
(DSE TREC # 133)
Room # 196, DSE Tower (Level-10)
Plot # 46, Road # 21, Nikunja-2
Dhaka-1229

02. Mr. Saad Ahmad
Managing Director and Compliance Authority
DSFM Securities Ltd.

03. Mr. Md. Rafiqul Bari
Chief Executive Officer
DSFM Securities Ltd.

04. Mr. Md. Monjurul Bari
Bhairab, Kishoreganj Branch In-Charge
DSFM Securities Ltd.

05. Mr. Ajmal Rimel
Bhairab, Kishoreganj Branch Compliance Officer
DSFM Securities Ltd.

06. Mr. Mamonur Rashid
Bhairab, Kishoreganj Branch
Compliance Officer
DSFM Securities Ltd.

07. Mr. Zahidul Islam
Authorised Representatives
DSFM Securities Ltd.

08. Mr. Khaled Saifullah
Authorised Representatives
DSFM Securities Ltd.

09. Mr. Rashed Saifullah
Authorised Representatives
DSFM Securities Ltd.

Attention: **Managing Director/CEO**

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3835/2024/619, Date: 22 April, 2025 based on which the hearing was conducted on May 05, 2025.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated May 05, 2025 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

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For Bangladesh Securities and Exchange Commission,

17/5/2025

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. PO to Commissioner (Enforcement), BSEC
3. PO to Executive Director (MIS)
4. PO to Executive Director (MIAD) with reference to DSE letter No: DSE/MCD/BSEC/2024/019/2678, dated 08 May, 2024.
5. PO to Director (Enforcement), BSEC
6. Managing Director, Dhaka Stock Exchange PLC.