Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3922/2025/773
Dated: 29 June, 2025

By Special Messenger

01. DMR Securities Limited
(DSE TREC No. 014)
Room # 214, Stock Exchange Building,
9/F, Motijheel C/A, Dhaka-1000.

02. Ms. Maitrayee Biswas
Managing Director and Compliance Officer
DMR Securities Limited.

03. Mr. Shantonu Saha
A/R and CDBL In-Charge
DMR Securities Limited

04. Mr. Suvrodev Saha
Accounts In-Charge
DMR Securities Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3922/2025/735, Date: 26 May, 2025 based on which the hearing was conducted on 16 June, 2025.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated 16 June, 2025 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

29/6/2025

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to all Commissioner, BSEC
3. P.O to Executive Director (MIS)
4. PO to Executive Director (MIAD) with reference to DSE letter No: DSE/MCD/BSEC/2024/6103, dated 06 October, 2024
5. PO to Director (Enforcement), BSEC
6. Managing Director, Dhaka Stock Exchange PLC.