Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries, and Other Affairs Section
www.sec.gov.bd

BSEC/Enf/ 3875/2025/ 728
Dated: May 17, 2025

By special messenger

CWT Asset Management Company Limited
House: 11 (Flat: 2B, Navana Shefali), Road: 14, Gulshan (Circle - 1)
Dhaka - 1212.

Board of Directors
CWT Asset Management Company Limited

Chief Executive Officer
CWT Asset Management Company Limited

Subject: Warning to Ensure Compliance with All Securities-Related Laws in the Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enf/3875/2025/147 dated January 20, 2025, based on which the hearing was scheduled and conducted on February 03, 2025, respectively.

The Commission, considering the explanation vide letter no. CWTAMC/BSEC/2025/53 dated February 03, 2025, which was submitted at the hearing, has decided to **warn** you to be careful in the future and also suggested that you be cautious about maintaining due diligence related to securities-related laws. Any divergence of securities-related laws and failure to fulfill lawful responsibilities will be taken seriously, and you will be brought under appropriate enforcement action.

You are hereby advised to follow up on the individual job responsibilities of the employee and hold them responsible for the lapse found in the above-mentioned show-cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For the Bangladesh Securities and Exchange Commission,

17.05.2025

Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Executive Director (MIAD), BSEC
Executive Director (ICTD), BSEC