Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3800/2024/683 **By Special Messenger**
Dated: May 14, 2025

Asset & Investment Management Services of Bangladesh Limited
Unique Trade Center (UTC), Level-6,
8 Panthopath, Karwan Bazaar, Dhaka-1215, Bangladesh.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3800/2024/146 dated January 20, 2025 based on which the hearing was conducted on February 03, 2025.

The Commission, considering your degree of non-compliances vide letter dated February 02, 2025 at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the previously mentioned letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

By order of the Bangladesh Securities and Exchange Commission,

MHC
14.05.2025

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Email: mahmudulhasan@sec.gov.bd

Copy for information:
PS to Chairman, BSEC.
PO to Commissioner (All)
PO to Executive Director (SMMID), BSEC. With reference to SMMID note dated May 18, 2023.
PO to Executive Director (ICTD), BSEC.