

**DIRECTIVE**

Whereas, the Securities and Exchange Commission (hereinafter referred to as "the Commission") deems it to be proper that in the interest of investors and capital market, certain directives should be issued to the Stock Exchanges, Merchant Bankers, Portfolio Managers, Issue Managers, Asset Management Companies, Central Depository Bangladesh Limited, Depository Participants and Issuer Companies etc. in respect of enquiry under Gazette Notification No.– GmBim/mvtfBj "vY/2011-749/500 Zwi L, 26 Rvbywi 2011;

Now, therefore, the Commission, in exercise of power conferred on it by section 20A of the Securities and Exchange Ordinance, 1969 (XVII of 1969), hereby directs the following:

1. Stock Exchanges shall provide all sorts of cooperation and inspect/enquire the affairs of Merchant Bankers, Portfolio Managers, Issue Managers, Asset Management Companies, Central Depository Bangladesh Limited, Depository Participants and Issuer Companies etc. as per requirement of the enquiry committee formed by the aforesaid Gazette Notification; and
2. Merchant Bankers, Portfolio Managers, Issue Managers, Asset Management Companies, Central Depository Bangladesh Limited, Depository Participants and Issuer Companies etc. shall provide all sorts of cooperation as per requirement of the said enquiry committee and the Stock Exchanges in this regard.

This directive shall have immediate effect.

By order of the Securities and Exchange Commission

Md. Ziaul Haque Khondker  
Chairman.