



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2848/2019-07
Date: January 03, 2021

Uniroyal Securities Limited
Zaman Court (2nd floor)
45 Dilkusha C/A, Dhaka-1000.

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2848/2019-035(6)** dated **January 26, 2020** based on which the hearing has been conducted on March 03, 2020.

The Commission, considering your written explanation- which was submitted at the time of hearing, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you and any other who involved from their lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


03.01.21

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Copy for information:

1. Honorable Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
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