



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন  
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭  
www.sec.gov.bd



BSEC/Enforcement/2979/2020/13  
Dated: January 05, 2021

Mondol Securities Limited  
(DSE TREC Holder No. 209)  
Le Meridien (Commercial Space, 6<sup>th</sup> Floor),  
Block # 2, Plot # 79/A,  
Airport Road, Nikunja- 2,  
Khilkhet, Dhaka- 1229.

**Attention:** Managing Director/CEO

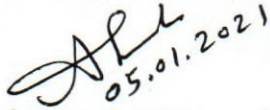
**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement /2979/2020/992 dated November 30, 2020 based on which the hearing was conducted on 21.12.2020.

The Commission, considering your explanation vide letter ref. No. MSL/BSEC/HEARING/03/12/20 dated 20.12.2020 has decided to dispose of the proceedings against Mondol Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
05.01.2021  
**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.