



BANGLADESH SECURITIES AND EXCHANGE COMMISSION
Securities Commission Bhaban
E-6/C Agargaon, Sher-e-Bangla Nagar
Administrative Area, Dhaka 1207, Bangladesh



BSEC/Enforcement/2489/2018 /12
January 05, 2021

By Courier Service

DLIC Securities Limited
DSE TREC Holder No.243
Delta Life Tower (9th floor)
Plot#37, Road#45(south) & 90(north)
Gulshan Circle-2
Dhaka-1212

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2489/2018/915 dated November 23, 2020 based on which the hearing was conducted on December 7, 2020.

Considering the explanation vide letter No. DLICSL/HO/CS/2020-79 dated December 06, 2020 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the DLIC Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


Md. Yusuf Bhuiyan
Deputy Director (Enforcement)
Phone: 55007139
e-mail: yusuf@sec.gov.bd

M/A

Copy for information:

1. P.S to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Enforcement), BSEC
4. P.O. to Executive Director (SRI), BSEC
5. Managing Director, Dhaka Stock Exchange Limited

“মুজিববর্ষের অঙ্গীকার উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”