



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2978/2020/15
Dated: January 05, 2021

By Special Messenger

BRB Securities Limited
(DSE TREC Holder No. 220)
House No. 10/B, Road No. 6,
Dhanmondi,
Dhaka-1205.

Attention: Managing Director/CEO


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement /2978/2020/987 dated November 30, 2020 based on which the hearing was conducted on 21.12.2020.

The Commission, considering your explanation vide letter ref. No. BSL 492/2020 dated 20.12.2020 has decided to dispose of the proceedings against BRB Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


05.01.2021

Mohammad Al Masum Mirdha

Deputy Director (Enforcement)

Phone : 55007147

Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”