

APEC Financial Regulators Training Initiative
Regional Seminar on Financial Literacy and Investor Protection

8-11 July, 2019, Dhaka, Bangladesh

Co-hosted by Bangladesh Securities and Exchange Commission and Asian Development Bank

Target Group

This seminar is designed for mid-level staff in Securities Regulators with a minimum of 4-5 years of regulatory experience who are preferably involved in financial literacy and investor protection related jobs.

Prerequisites

There will be no pre-course reading materials for this course. However, participating jurisdictions are required to present a 10 minutes presentation on financial literacy program of their home jurisdiction and send it to APEC FRTI secretariat at least two weeks prior to the program. Participating jurisdictions are expected to present this presentation on Day 4 in the post lunch session.

Course Overview

The main topic of this course is Financial Literacy and Investor Protection. Since many of the markets in this region are dominated by investors who are in lack of proper skill and attitude in making investment decision, this topic is relevant for regulators of this region. One of the objectives of this course is to share experiences of participating jurisdictions and learn from each other how best this shared experience could be used in their home jurisdictions which will help their investors to make informed investment decision.

Learning Objectives

Upon completion of this course, the participant will be able to Know:

- a) the role of regulators in designing financial literacy program.
- b) the role of financial regulation in protecting investors.
- c) investor protection in respect of different products like derivatives, CIS etc.
- d) investor protection in respect of digital asset like crypto currency.
- e) complaint handling and alternative dispute resolution mechanism.
- f) how different jurisdictions use different tools in spreading financial literacy in their home jurisdiction and the participants can use these knowledge in fine tuning their financial literacy strategy.

What you will receive on completion of this course

Participants who attend the full 4 days of sessions will receive a Certificate of Attendance under APEC Financial Regulators Training Initiative.

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I. Day 1 (8 July): Conference

TIME	PROGRAM
9:30 – 10:00	Participants Registration
10:00 – 10:30	Conference Opening: Inaugural Function <ul style="list-style-type: none"> ▪ Welcome Address - Dr. M. Khairul Hossain, Chairman, Bangladesh Securities and Exchange Commission (BSEC) ▪ Opening Remarks - Mr. Manmohan Parkash, Country Director, Bangladesh Resident Mission, Asian Development Bank ▪ Opening Remarks - Mr. Mustafa Kamal MP, Finance Minister, Government of the People's Republic of Bangladesh ▪ Inauguration and Speech by the Chief Guest - Sheikh Hasina MP, Hon'ble Prime Minister, Government of the People's Republic of Bangladesh
10:30 – 11:00	Official Photo and Morning break
11:00 – 12:30	Session 1: Bangladesh Capital Market and Journey toward Financial Literacy and Investors protection <ul style="list-style-type: none"> ▪ Mr. Arif Khan, FCMA, CFA, Managing Director, IDLC Finance Ltd ▪ Mr. Mahbubul Alam, Executive Director, Bangladesh Securities and Exchange Commission
12:30 – 2:00	Lunch
2:00 – 3:00	Session 2: Role of Intermediaries in promoting Financial Literacy and Investors protection <ul style="list-style-type: none"> ▪ Mr. K.A.M. Majedur Rahman, Managing Director, Dhaka Stock Exchange Ltd.
3:00 – 4:00	Session 3: New Corporate Governance Code <ul style="list-style-type: none"> ▪ Dr. ATM Tariquzzaman, Executive Director, Bangladesh Securities and Exchange Commission
4:00 – 4:15	Afternoon Break
4:15 – 5:15	Session 4: Enhancing Investor Knowledge on Financial Statements and Disclosures <ul style="list-style-type: none"> ▪ Mr. Shahidul Islam, CEO, VIPB Asset Management Company Ltd.
6:15 – 8:15	Cultural Function followed by Dinner

Note: This seminar is financed by the People's Republic of China Poverty Reduction and Regional Cooperation Fund.

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II. Day 2 to 4 (9-11 July): Workshop

Time	Tuesday, 9 July	Wednesday, 10 July	Thursday, 11 July
9:00 to 10:30	Participants Registration (08:30 – 09:00) Opening Remarks Participants Introduction (09:00 – 09:30) 1. Overview of Financial Literacy and Investors Protection	5. High Risk Financial Products and Investor Protection	9. Experiences of Russia
	Break	Break	Break
11:00 to 12:30	2. Roles of regulators in designing and implementing investors education program	6. Collective Investment Schemes and Investor Protection	10. Case Study – Malaysia
	LUNCH	LUNCH	LUNCH
1:45 to 3:15	3. The Role of Financial Regulation in Protecting Investors	7. Fintech, Cryptocurrency and Investor Protection	11. Experience of participating jurisdictions in promoting financial literacy and Investor Protection
	Break	Break	Break
3:30 to 5:00	4. Complaint handling and Alternative Dispute Resolution mechanism	8. Experiences of India	12. Wrap-up Session, Closing Remarks and Certificate Presentation

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