

# Quarterly Review

April-June 2026



**Ensuring Stability and Discipline towards Advancement of the Economy**  
**Bangladesh Securities and Exchange Commission**

# Quarterly Review

April-June 2026



## **Bangladesh Securities and Exchange Commission** **Securities Commission Bhaban**

E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh

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The Bangladesh Securities and Exchange Commission (BSEC) was established on 8th June, 1993 as the regulator of the country's capital market through enactment of the Bangladesh Securities and Exchange Commission Act, 1993. The main objectives of the Commission are protecting the interest of investors in securities, developing the securities market and making rules for matters connected therewith or ancillary thereto. The Commission consists of the Chairman and four Commissioners who are appointed by the Government. The Chairman acts as the chief executive of the Commission. The Commission formulation of necessary rules, regulation for controlling the market and monitoring accordingly are the key responsibility of the Commission. The Commission is a statutory body and attached to the Ministry of Finance.

At present, Mr. Masud Khan is serving as the Chairman of the Commission and Mr. Tanwir Habib Rahman, CPA, Ms. Nahid Mahtab, and Mr. Md. Nafeez Al Tarik, CFA, FRM are serving as the Commissioners.

# PART-I

## 1. Executive Directors and Head of the Division of Bangladesh Securities and Exchange Commission

| SL. | Name                             | Head of the Division and Wing                                                  |
|-----|----------------------------------|--------------------------------------------------------------------------------|
| 1   | Mr. Md. Anowarul Islam           | Issuer Company Affairs Division,<br>Derivatives Division                       |
| 2   | Mr. Md. Mahbuber Rahman Chowdury | Law Division                                                                   |
| 3   | Mr. Kamrul Anam Khan             | Chief Accountant Division,<br>Internal Audit & Compliance Division             |
| 4   | Mr. Mohammad Rezaul Karim        | Temporarily Suspended                                                          |
| 5   | Mr. Mohammed Shafiul Azam        | Admin & Finance Division<br>Investment Management Division                     |
| 6   | Mr. Ripan Kumar Debnath          | Enforcement Division                                                           |
| 7   | Mr. Mir Mosharraf Hossain        | Market Intelligence and Investigation<br>Division, Financial Literacy Division |
| 8   | Mr. Mohammed Jahangir Alam       | Corporate Finance Division<br>R&D Division                                     |
| 9   | Mr. Md. Abul Kalam               | Market & Intermediaries Affairs Division                                       |

## 2. Various Workshops, Seminars and Other Special Activities Related to the Capital Market

### 2.1 BSEC Holds 6th Monthly Coordination Meeting with Capital Market Stakeholders

The 6th Monthly Coordination Meeting between the Bangladesh Securities and Exchange Commission (BSEC), the capital market regulator, and representatives of capital market stakeholders was held on April 5, 2026, at 10:30 a.m. at the Multipurpose Hall of the BSEC in Agargaon, Dhaka. BSEC Chairman Khondoker Rashed Maqsood, BSEC Commissioner Md. Mohsin Chowdhury, BSEC Commissioner Md. Ali Akbar, BSEC Commissioner Farzana Lalarukh, BSEC Commissioner Md. Saifuddin, CFA; and senior representatives of various capital market institutions and organizations attended the meeting. The meeting provided a platform for an open and comprehensive discussion on various issues relating to the capital market.

Among other matters, the meeting discussed initiatives and activities for the development of the capital market; measures to transform Bangladesh's capital market from a frontier market into an emerging market; planned initiatives for the implementation of e-KYC in the capital market; launching of the Commodity Exchange; strengthening coordination among capital market institutions; formulation of specific criteria for Public Interest Companies (PICs) and their listing on the capital market; ensuring institutional good governance in the capital market; completion of the registration of Central Counterparty Bangladesh Limited (CCBL) and enhancement of its operational capacity; promotion and development of investor education; introduction of new products and diversification of capital market instruments; prevention of all forms of market manipulation and irregularities; compensation to affected investors through the Investor Protection Fund; ensuring implementation of non-editable back-office software; ensuring the accuracy of Price Sensitive Information (PSI); resolution of negative equity and unrealized losses; promotion of investor education; enhancement of investor confidence; and ensuring investor protection, among others.

The Chairman of BSEC highlighted the Commission's continued efforts towards the development of the capital market. He stated, "A significant part of the legal reforms of the capital market has been completed through the Margin Rules, 2025; Mutual Fund Rules, 2025; and Public Offer of Equity Securities Rules, 2025. The Corporate Governance Rules will also be introduced shortly. The Commission is working with the highest priority to facilitate the direct listing of large Public Interest Companies in the capital market. In this regard, a supportive role from the Government and market stakeholders is essential."

The meeting was attended, among others, by Major General (Retd.) Md. Wahid-Uz-Zaman, Chairman of Central Counterparty Bangladesh Limited (CCBL); Mr. Saiful Islam, President of the DSE Brokers Association of Bangladesh (DBA); Nuzhat Anwar, Managing Director of Dhaka Stock Exchange (DSE); Md. Saifur Rahman Majumdar, Managing Director of Chittagong Stock Exchange PLC (CSE); Md. Nurul Huda, Deputy Managing Director of Investment Corporation of Bangladesh (ICB); Md. Abdul Motaleb, Managing Director of Central Depository Bangladesh Limited (CDBL); A.S.M. Khairuzzaman, Acting Managing Director of CCBL; Md. Al Amin Talukder, Chief Executive Officer of ICB Asset Management PLC; Md. Nafeez Al Tarik, CFA, FRM, Managing Director of Dhaka Bank Securities Limited; Mohammad Shafiqul Islam Bhuiyan, Acting Chief Regulatory Officer (CRO) of DSE; Md. Abid Hossain Khan, Chief Financial Officer (CFO) of DSE; Professor Dr. Md. Saiful Islam, Independent Director of CSE; Mohammad Shahidul Islam, CFO of CDBL; Kamrul Anam Khan, Acting Director General of Bangladesh Academy for Securities Markets (BASM); and Executive Directors and Directors of BSEC, among others.



Members present at the coordination meeting between BSEC and capital market stakeholders

## 2.2 Farewell Ceremony for Executive Director M. Hasan Mahmud

A farewell ceremony was organized on Tuesday, April 07, 2026, at 3:15 PM in the Commission's Multipurpose Hall on the occasion of the retirement and commencement of post-retirement leave of BSEC Executive Director M. Hasan Mahmud. Officers and employees of all grades of the Commission attended the event. BSEC Commissioner Md. Mohsin Chowdhury presided over the ceremony and delivered the presidential address. Additionally, BSEC Commissioner Md. Ali Akbar, BSEC Executive Director Md. Anowarul Islam, and Executive Director M. Hasan Mahmud also addressed the audience.

Furthermore, in the 1007th Commission Meeting (held on April 07, 2026), a resolution of thanks was unanimously adopted, wishing Executive Director Mr. M. Hasan Mahmud a joyful, healthy, and peaceful retired life, and expressing hope to benefit from his experience and guidance in the future. It was also decided to issue a letter conveying these warm wishes through a D.O. letter from the Honorable Chairman. On behalf of the Bangladesh Securities and Exchange Commission family, heartfelt congratulations were extended to him at the Commission meeting on his retirement.



Highlights of the Farewell Ceremony for Executive Director M. Hasan Mahmud

During the meeting, it was noted that his presence at the Commission over a long career spanning more than two decades was not merely that of a skilled official, but a unique example of integrity, intellect, and dedication. As an astute Executive Director, his sharp analytical abilities and sound decision-making capacity impressed everyone. The meeting expressed that with the retirement of an honest, skilled, experienced, and dedicated colleague like him, the Commission would feel an immense void. However, the standards of work and discipline he demonstrated would serve as an inspiration for future generations of officers. Gratitude was expressed for his invaluable contributions to every success of the Commission over the past years. Beyond professional relationships, his amiable personality and leadership qualities were highly commended by all. Wishes were extended for a joyful, healthy, peaceful, and long retired life. It was also hoped that despite his retirement, his vast experience and talent would continue to serve as a guiding light for the Commission whenever needed.

It is worth noting that M. Hasan Mahmud joined the Commission's service as a Deputy Director on September 17, 2001, and was subsequently promoted to Director in 2006 and Executive Director in 2011. During his tenure, he served in various divisions and departments, including the Corporate Finance Division, Investment Management Division, Enforcement Division, Internal Audit and Compliance Division, and Registration and Licensing Department. Throughout his distinguished career, he played a strong and visionary role in maintaining capital market discipline, boosting investor confidence, and enforcing securities laws, which will be remembered at the Commission forever.

## 2.3 The prize distribution ceremony of the 'Short Video Competition on Financial Literacy' organized by BSEC.

On Sunday, April 12, 2026, the award ceremony for the "Short Video Competition on Financial Literacy," organized by the Bangladesh Securities and Exchange Commission (BSEC), was held at the Multipurpose Hall (3rd Floor) of the BSEC building in Agargaon, Dhaka. The event was attended by the Chairman of BSEC, Commissioners, members of the competition's jury board, Executive Directors, Directors, and other official representatives of the Commission. BSEC Chairman Khondoker Rashed Maqsood presided over the event, while BSEC Commissioner Farzana Lalarukh delivered the welcome address. Remarks were also delivered by jury board members Sultan Mahmud Bin Zulfiqar, Deputy Editor of Daily Amar Desh, and Khairul Basher, Director of the Office of Communications at BRAC University. The winning videos were also screened during the ceremony.

In her welcome speech, Commissioner Farzana Lalarukh expressed her heartfelt thanks and greetings to all attendees. Among other points, she stated: "There is no alternative to proper knowledge and awareness among investors to build a healthy, stable, and sustainable capital market. With this objective in mind, BSEC has been working tirelessly to expand investment education nationwide. As part of this ongoing initiative, BSEC organized the 'Short Video Competition on Financial Literacy,' marking the first time such a competition has been held in the country—undoubtedly a major milestone."

She further noted that the competition was open to undergraduate and postgraduate students from any educational institution in Bangladesh. Students from public and private universities across the country, as well as colleges affiliated with the National University, participated. A total of 425 teams registered, and 90 teams submitted 90 videos across three categories. Winners were selected strictly from the best entries through an evaluation process that was thoroughly transparent, impartial, and high-standard. She added that through this competition, the youth not only showcased their creativity but also played a crucial role in spreading investment education and awareness nationwide, expressing hope to host similar events in the future.

In his presidential address, BSEC Chairman Khondoker Rashed Maqsood remarked: "If the youth are provided with the right knowledge at the right time, they will grow into responsible investors and lead the construction of a healthy capital market. Through this competition, students have not only expressed their creativity but have also disseminated vital messages regarding investment education into society. By utilizing their videos on a broader scale in the future, we can further raise awareness among general investors. Today's participants will shape tomorrow's conscious and educated investors. Simultaneously, this knowledge and awareness will spread to their families, communities, and the national level. I do not view this competition merely as a single event; I see it as part of a long-term investment education movement. We want such initiatives to continue regularly so that financial literacy reaches people at every level of the country. Together, let us build an aware, knowledge-based, and prosperous capital market that will further strengthen our national economy."

He also mentioned that the competition's videos would be distributed nationwide, with local field administration at the district and sub-district levels involved in promoting investment education. He extended his warm congratulations and best wishes to all the award winners.

Sultan Mahmud Bin Zulfiqar, Deputy Editor of Daily Amar Desh and a member of the competition's jury board, commended BSEC for taking the initiative. He requested that the video clips be broadcast across television channels and featured on the websites of capital market-related entities. He noted that leaders from the head of government to the finance minister are stressing the need to revitalize the capital market, expressing confidence that BSEC will exert its utmost efforts toward this goal. Khairul Basher, Director of the Office of Communications at BRAC University and fellow jury board member, highlighted the power of youth as the core strength of Bangladesh. He commended the participants' unique thinking, creativity, and excellent storytelling, emphasizing that their enthusiasm is vital for the nation. He stressed the importance of connecting policymakers with the younger generation and praised the diversity among the competitors.



At the ceremony, a total of 9 main awards were presented to the first, second, and third-place winners across three categories: "Healthy Capital Market and Prosperous Economy," "Risks in the Capital Market," and "Financial Literacy to Increase Capital Market Awareness." Additionally, 10 consolation (encouragement) prizes were awarded. The Chairman and Commissioners of BSEC presented certificates, crests, and checks to the recipients. First, second, and third-place winners received cash prizes of BDT 60,000, BDT 45,000, and BDT 30,000 respectively, while the consolation prize winners received BDT 15,000 each.

The winning teams in each category are as follows:

### 1. Healthy Capital Market and Prosperous Economy

1st Place: New Bangladesh (Hamdard University Bangladesh), 2nd Place: Looser (University of Rajshahi), 3rd Place: GM Studios (BRAC University).

### 2. Risks in the Capital Market

1st Place: Shwapno (IBA, University of Dhaka), 2nd Place: CINE ART (Jahangirnagar University), 3rd Place: DU Finance (University of Dhaka)

### 3. Financial Literacy to Increase Capital Market Awareness

1st Place: The Blind Men (Hajee Mohammad Danesh Science and Technology University), 2nd Place: Akatsuki (BRAC University), 3rd Place: Information Scorpion (Bangladesh University of Professionals)

### Consolation Prize Winners:

1) Progoti, 2) Stock Sensei, 3) AR Studio, 4) Team AdorBador, 5) FinFlicks, 6) Team Arthosutra, 7) Team Crisis, 8) Team Elomelo, 9) Team FinLit, and 10) Team Mughdo.



The Chairman of the Commission, Commissioners, judges, and winners of the award ceremony of the 'Short Video Competition on Financial Literacy'.

## 2.4 BSEC Holds Meeting with Top Representatives of Merchant Banks

On April 15, 2026, a meeting was held between the capital market regulator, the Bangladesh Securities and Exchange Commission (BSEC), and top representatives of all merchant banks operating in the capital market. The meeting commenced at 2:00 PM at the BSEC Multipurpose Hall in Agargaon, Dhaka. BSEC Chairman Khondoker Rashed Maqsood, BSEC Commissioner Md. Mohsin Chowdhury, BSEC Commissioner Md. Ali Akbar, BSEC Commissioner Farzana Lalarukh, BSEC Commissioner Md. Saifuddin, CFA; alongside top executives of merchant banks, were present. Various capital market-related issues were discussed during the session.

In his remarks, BSEC Chairman Khondoker Rashed Maqsood stated: "The Commission is working to ensure long-term, sustainable development of the capital market and full protection for investors." He strictly warned licensed issue managers and merchant bankers who failed to comply with registration conditions-which require bringing at least one new company or IPO application every two years-to immediately fulfill these requirements. He also announced that the Commission is set to issue new guidelines regarding Public Interest Companies (PICs) and sought cooperation from all stakeholders in this regard. Key agenda items thoroughly discussed at the meeting included:

New Listings & Governance: Listing new companies with strong fundamentals, ensuring corporate governance in Public Interest Companies, and resolving potential regulatory or operational bottlenecks to listing. Pipeline & Issuances: Updating status on ongoing issues managed by issue managers, financing through bonds, and positioning the capital market as a reliable source of long-term financing. Financial Health: Guaranteeing adequate provisioning for negative equity and unrealized losses.

During the meeting, issue managers provided updates on pending issues in their pipelines and expressed optimism about introducing new companies and IPOs to the market shortly.

The meeting was attended by top representatives from AAA Finance and Investment Limited, AB Investment Limited, Abaci Investments Limited, Agrani Equity & Investment Limited, AIBL Capital Management Limited, Alliance Financial Services Limited, Alpha Capital Management Limited, CIMM Capital Limited, BD Finance Capital Holdings Limited, Beta One Investments Limited (CAL Investments Limited), BLI Capital Limited, BMSL Investment Limited, BRAC EPL Investment Limited, CAPM Advisory Limited, CBC Capital & Equity Management Limited, City Bank Capital Resources Limited, Community Bank Investment Limited, Dhaka Bank Investment Limited, EBL Investments Limited, EC Securities Limited, EXIM Islami Investment Limited, FAS Capital Management Limited, First Security Islami Capital & Investment Limited, Grameen Capital Management Limited, Green Delta Capital Limited, GSP Investments Limited, ICB Capital Management Limited, IDLC Investments Limited, IFIC Investment Limited, IIDFC Capital Limited, IL Capital Limited, Imperial Capital Limited, Islami Bank Capital Management Limited, Jamuna Bank Capital Management Limited, Janata Capital and Investment Limited, LankaBangla Investments Limited, Meghna Capital Management Limited, MIDAS Investment Limited, MTB Capital Limited, NBL Capital & Equity Management Limited, NDB Capital Limited, North Star Investments (BD) Limited, PLFS Investments Limited, Prime Bank Investment Limited, Prime Finance Capital Management Limited, RACE Portfolio & Issue Management Limited, Riverstone Capital Limited,



Rupali Investments Limited, Sandhani Life Finance Limited, SBL Capital Management Limited, Shahjalal Equity Management Limited, Sonali Investment Limited, Southeast Bank Capital Services Limited, Strategic Finance Limited, Swadesh Investment Management Limited, UCB Investment Limited, UniCap Investments Limited, and Uttara Finance Capital Management Limited, along with Executive Directors, Directors, and senior officials of BSEC.



Meeting between the Bangladesh Securities and Exchange Commission (BSEC) and Top Representatives of Merchant Banks

## 2.5 Meeting with the Special Assistant to the Hon'ble Prime Minister for Investment and Capital Market Affairs (State Minister Rank), Tanvir Ghani.

A meeting between the Bangladesh Securities and Exchange Commission (BSEC), the regulatory authority of the capital market, and Mr. Tanvir Ghani, Special Assistant to the Hon'ble Prime Minister for Investment and Capital Market Affairs (with the rank of State Minister), was held on 16 April 2026. The meeting commenced at 11:00 a.m. at the BSEC office in Agargaon, Dhaka.

The meeting was attended by Mr. Khondoker Rashed Maqsood, Chairman of BSEC; BSEC Commissioner Md. Mohsin Chowdhury, BSEC Commissioner Md. Ali Akbar, BSEC Commissioner Farzana Lalarukh, BSEC Commissioner Md. Saifuddin, CFA; and senior officials of BSEC. During the meeting, a presentation was made highlighting the overall activities of BSEC, major initiatives undertaken and achievements made by the Commission for the development of the capital market, as well as BSEC's future plans in line with the election manifesto and other relevant matters. The Special Assistant to the Hon'ble Prime Minister was also briefed on the measures recently undertaken by BSEC for the development of the capital market. During the meeting, the Special Assistant to the Hon'ble Prime Minister took an update on various matters relating to the capital market and discussed issues concerning its further development.



Meeting between BSEC and Mr. Tanvir Ghani, Hon'ble Special Assistant to the Prime Minister for Investment and Capital Market Affairs (with the rank of State Minister)

## 2.6 Discussion Meeting on IPO Proceeds Utilization for Loan Repayment or Investments of Issuers

A discussion meeting titled “Discussion Meeting on IPO Proceeds Utilization for Loan Repayment or Investments of Issuer” was held on April 23, 2026, at the Multipurpose Hall of the Bangladesh Securities and Exchange Commission (BSEC) in Agargaon, Dhaka. BSEC Chairman Khondoker Rashed Maqsood, BSEC Commissioner Md. Mohsin Chowdhury, BSEC Commissioner Md. Ali Akbar, BSEC Commissioner Farzana Lalarukh, BSEC Commissioner Md. Saifuddin, CFA; and senior representatives of various capital market stakeholder organizations attended the meeting.

The meeting discussed various capital market-related issues, including the utilization of funds raised through Initial Public Offerings (IPOs) for loan repayment and investments by issuer companies. In his remarks, BSEC Chairman Khondoker Rashed Maqsood said that the Commission is working to ensure the long-term and sustainable development of the capital market and to build a vibrant and dynamic market. He said that BSEC is taking initiatives to encourage the listing of new companies in the capital market. The Commission will evaluate the views and recommendations of stakeholders regarding the utilization of IPO proceeds. He emphasized that one of BSEC’s key mandates is to protect the interests of investors in the capital market and that the Commission will work while ensuring the overall development of the market and full protection of investors.

The BSEC Chairman also emphasized the need for greater coordination among capital market institutions. He noted that initiatives are underway to bring public-interest companies under an appropriate governance framework and to facilitate their listing on the capital market.



Discussion Meeting on IPO Proceeds Utilization for Loan Repayment or Investments of Issuers

The meeting also held detailed discussions on IPO applications, listing procedures, and the utilization of IPO proceeds under the new Public Issue Rules. Stakeholders recommended adopting a flexible approach toward the repayment of loans using funds raised through IPOs. They also called for allowing issuers to repay rescheduled loans, non-performing loans, and default or bad loans from IPO proceeds. In addition, the meeting discussed the development of the bond market, long-term financing through the capital market, and strengthening corporate governance in the capital market, among other issues.

Among those present at the meeting were Tapan Chowdhury, Chairman of Central Depository Bangladesh Limited (CDBL) and Managing Director of Square Group; Abdul Hai Sarker, Chairman of Bangladesh Association of Banks (BAB) and Chairman of Dhaka Bank PLC; Syed Nasim Manzur, President of the Leathergoods and Footwear Manufacturers and Exporters Association of Bangladesh (LFMEAB) and Managing Director of Apex Footwear; Kamran Tanvirur Rahman, President of the Metropolitan Chamber of Commerce and Industry, Dhaka (MCCI); Mashrur Arefin, Chairman of the Association of Bankers, Bangladesh (ABB) and Managing Director of City Bank PLC; Mominul Islam, Chairman of Dhaka Stock Exchange PLC (DSE); AKM Habibur Rahman, Chairman of Chittagong Stock Exchange PLC (CSE); Saiful Islam, President of DSE Brokers Association of Bangladesh (DBA); Riad Mahmud, President of Bangladesh Association of Publicly Listed Companies (BAPLC); Nuzhat Anwar, Managing Director of DSE; Md. Saifur Rahman Majumder, Managing Director of CSE; Iftekhar Alam, President of Bangladesh Merchant Bankers Association (BMBA); Sumit Podder, Secretary General of BMBA; Syed Rashed Hussain, Treasurer of BMBA; Md. Mominul Haque, Executive Committee Member of BMBA; Mohammad Shafiqul Islam Bhuiyan, Acting Chief Regulatory Officer (CRO) of DSE; Md. Nafeez Al Tarik, CFA, FRM, Managing Director of Dhaka Bank Securities; Md. Sohel Haque, Managing Director of City Bank Capital; as well as Executive Directors and Directors of BSEC, among others.

## 2.7 BSEC Meeting on the Appointment of Women Independent Directors in Listed Companies under the Corporate Governance Code

A meeting was held on April 29, 2026, between the Bangladesh Securities and Exchange Commission (BSEC), the capital market regulator, and the Company Secretaries of listed companies that had failed to comply, within the stipulated timeframe, with the requirement to appoint women independent directors to their Boards of Directors in accordance with the Corporate Governance Code, 2018. The meeting commenced at 11:00 a.m. at the Multipurpose Hall of BSEC in Agargaon, Dhaka. Organized by the Commission's Corporate Governance Department, the meeting was chaired by Md. Anwarul Islam, Executive Director of BSEC. Company Secretaries of the listed companies that had failed to comply with the requirement for appointing women independent directors attended the meeting.

At the meeting, the concerned listed companies were requested to complete the appointment of women independent directors in compliance with the Corporate Governance Code, 2018. It was emphasized that eligible women, including business entrepreneurs, members of business organizations, corporate leaders, teachers of public and private universities, serving or retired government officials, holders of professional degrees, and women engaged in legal practice at the High Court Division, may be appointed as women independent directors on the Boards of listed companies. The companies were instructed to complete the appointment of women independent directors by June 30, 2026.



BSEC Meeting on the Appointment of Women Independent Directors in Listed Companies under the Corporate Governance Code

The meeting was further informed that if any company fails to comply with the requirement for appointing a woman independent director after June 30, 2026, the Commission will take legal action against the respective company.

It may be noted that, following an amendment to Condition No. 1(2)(a) of the Corporate Governance Code, 2018, a gazette notification was issued on April 29, 2024, making the appointment of at least one-woman independent director mandatory for listed companies and providing one year for completion of the appointment. Subsequently, pursuant to a decision of the Commission, the deadline for appointing at least one-woman independent director in listed companies was extended until December 31, 2025.

At the request of the Commission, the Dhaka Stock Exchange PLC (DSE) submitted updated information regarding the appointment of women independent directors in listed companies. According to the information submitted by DSE, 163 listed companies have already appointed women independent directors to their Boards of Directors, while 131 listed companies have failed to comply, within the stipulated timeframe, with the requirement to appoint women independent directors in accordance with the Corporate Governance Code, 2018.

## 2.8 Joint Training on Capital Raising by Public Offer for SME Entrepreneurs Organized by BSEC and SME Foundation, Particularly for Women Entrepreneurs

A two-day joint training program titled “Training on Capital Raising by Public Offer for SME Entrepreneurs” was organized jointly by the Bangladesh Securities and Exchange Commission (BSEC) and the SME Foundation on May 18-19, 2026. A total of 40 participants attended the training, including 6 officials of SME Foundation, 28 SME entrepreneurs-particularly women entrepreneurs-and officials of the Commission.

The training workshop was formally inaugurated by BSEC Commissioner Farzana Lalarukh, who delivered the opening remarks. Farzana Khan, Deputy Managing Director of SME Foundation, and Khalid Mahmud Khan, Managing Partner of the Board of Directors, delivered the welcome remarks. The training was primarily organized to provide participants with practical knowledge and a clear understanding of capital raising through Qualified Investor Offer (QIO). The participants were encouraged to utilize the knowledge gained from the training to engage with the capital market in the future and contribute to the country’s economic development. At the conclusion of the training, the entrepreneurs expressed their views and stated that, based on the knowledge acquired through the program, they intended to connect their enterprises with the capital market in the near future.



Joint Training on Capital Raising by Public Offer for SME Entrepreneurs Organized by BSEC and SME Foundation, Particularly for Women Entrepreneurs

In her inaugural remarks, BSEC Commissioner Farzana Lalarukh highlighted the important role played by small and medium-sized enterprises in the economic development of the country. She said that the training would provide SME entrepreneurs with a clear understanding of how they could raise capital from the capital market to expand their businesses. She emphasized that the training workshop was therefore highly significant for SME entrepreneurs. In her speech, she also highlighted the role of the SME segment of the capital market, drawing examples from neighboring countries, particularly Malaysia.

Representatives from various stakeholder institutions associated with the capital market participated as trainers in the program, including representatives from BSEC, Dhaka Stock Exchange (DSE), Central Depository Bangladesh Limited (CDBL), and Issue Managers. At the end of the two-day training program, certificates were distributed among the participants.

## 2.9 BSEC Eid Reunion Ceremony

Following Eid-ul-Adha 2026, an Eid Reunion Ceremony of the Bangladesh Securities and Exchange Commission (BSEC) was held on June 3, 2026, with the participation of all officers and employees of the Commission. The Ceremony commenced at 3:30 p.m. at the BSEC Building in Agargaon, Dhaka. BSEC Chairman Khondoker Rashed Maqsood, BSEC Commissioner Md. Mohsin Chowdhury, BSEC Commissioner Md. Ali Akbar, BSEC Commissioner Farzana Lalarukh, BSEC Commissioner Md. Saifuddin, CFA, along with officers and employees from all levels of the Commission, attended the Ceremony.

At the outset of the Eid Reunion Ceremony, the BSEC Chairman and Commissioners exchanged Eid greetings with officers and employees of all grades of the Commission. BSEC Chairman Khondoker Rashed Maqsood, as well as Executive Directors, Directors, Additional Directors, Joint Directors, Deputy Directors, Assistant Directors, and employees of all grades, delivered remarks at the Ceremony.

At the conclusion of the Ceremony, prayers were offered for the prosperity and overall well-being of the country and its people. Prayers were also offered for the development and stability of the national economy and capital market, and for the welfare of investors.



Exchange of Eid greetings between the Chairman and Commissioners of the Bangladesh Securities and Exchange Commission (BSEC) and officials/employees of all grades of the Commission

## 2.10 Meeting of the Newly Appointed Chairman and Commissioners with Senior Officials of BSEC and DSE

A meeting between the newly appointed Commission and senior officials of the Bangladesh Securities and Exchange Commission (BSEC), the capital market regulator, was held on June 7, 2026. The meeting commenced at 12:00 noon at the BSEC office in Agargaon, Dhaka. BSEC Chairman Mr. Masud Khan, BSEC Commissioners Mr. Tanwir Habib Rahman, CPA, Ms. Nahid Mahtab, and Mr. Md. Nafeez Al Tarik, CFA, FRM, along with senior officials of BSEC, attended the meeting. The meeting discussed the overall activities of BSEC, the Commission's roadmap for the development of the capital market, its future plans in line with the electoral manifesto, and other relevant issues.

Later, at 3:00 p.m., a delegation from the Dhaka Stock Exchange PLC (DSE) paid a courtesy visit to the newly appointed Commission at the BSEC office. The meeting was attended by the BSEC Chairman and all Commissioners, as well as the Chairman and Directors of the DSE Board, Managing Director, and other senior officials. On behalf of DSE, flowers were presented to the newly appointed Commission as a gesture of welcome and congratulations. Various issues related to the capital market were also discussed during the meeting.

It may be noted that Masud Khan joined as Chairman of BSEC, while Mr. Tanwir Habib Rahman, CPA, Ms. Nahid Mahtab, and Mr. Md. Nafeez Al Tarik, CFA, FRM joined as Commissioners on June 4, 2026. Pursuant to Section 5(2) of the Bangladesh Securities and Exchange Commission Act, 1993, Mr. Masud Khan was appointed Chairman of BSEC for a four-year term through Notification No. 53.00.0000.000.421.11.0001.26-82, issued by the Financial Institutions Division of the Ministry of Finance on June 4, 2026, with the approval of the Government of the People's Republic of Bangladesh. Similarly, pursuant to Notifications No. 53.00.0000.000.421.11.0001.26-83, 84 and 85, issued on the same date, Mr. Tanwir Habib Rahman, CPA, Ms. Nahid Mahtab, and Mr. Md. Nafeez Al Tarik, CFA, FRM were appointed as Commissioners of BSEC for a four-year term under Section 5(2) of the Bangladesh Securities and Exchange Commission Act, 1993.



Meeting of the Newly Appointed Chairman and Commissioners with Senior Officials of BSEC and DSE

The newly appointed BSEC Chairman Masud Khan has 46 years of professional experience with leading multinational companies and local corporate organizations in the country. Most recently, he served as Chairman of Unilever Consumer Care. He also served as an Independent Director on the Boards of Singer Bangladesh, British American Tobacco Bangladesh Company (BATBC), Berger Paints Bangladesh Limited, and Community Bank. He served as Chief Financial Officer and Advisor to the CEO of LafargeHolcim Bangladesh Limited and held various senior positions in other multinational organizations. He obtained a Bachelor of Commerce (Honours) degree from St. Xavier's College, affiliated with the University of Calcutta, India. He also obtained professional qualifications as a Chartered Accountant (CA) from the Institute of Chartered Accountants of India (ICAI) and as a Cost and Management Accountant (CMA) from the Institute of Cost and Works Accountants of India.

The newly appointed BSEC Commissioner Mr. Tanwir Habib Rahman, CPA, served as Finance Director of ASA International prior to joining BSEC. Newly appointed Commissioner Ms. Nahid Mahtab has a distinguished professional career as a prominent lawyer of the Supreme Court. He has been engaged in legal practice at the Supreme Court of Bangladesh for many years, with particular expertise in corporate and civil matters. Newly appointed Commissioner Mr. Md. Nafeez Al Tarik, CFA, FRM served as Managing Director of Dhaka Bank Securities Limited prior to joining BSEC. He is a capital market professional holding CFA and FRM qualifications.

## 2.11 Meeting of BSEC with a Delegation of the Bangladesh Association of Publicly Listed Companies (BAPLC)

A courtesy meeting between the Bangladesh Securities and Exchange Commission (BSEC) and a delegation of the Bangladesh Association of Publicly Listed Companies (BAPLC) was held on June 18, 2026, at the BSEC Building in Agargaon, Dhaka. BSEC Acting Chairman Mr. Tanwir Habib Rahman, CPA, BSEC Commissioner Nahid Mahtab, BSEC Commissioner Mr. Md. Nafeez Al Tarik, CFA, FRM, along with Executive Directors, Directors, relevant officials of BSEC, and others attended the meeting. The BAPLC delegation was led by its President and Managing Director of National Polymer Industries PLC, Riad Mahmud, Vice President Engineer Syed Ishtiaq Ahmed, and Secretary General Md. Amzad Hossain.

The meeting emphasized the importance of ensuring long-term financing through the capital market and facilitating the listing of quality companies in the country's capital market. The BAPLC representatives informed the meeting that many promising companies are interested in being listed on the capital market and that, as an association representing publicly listed companies, BAPLC intends to play a supportive role in this regard. They also requested the Commission to take necessary measures to address the existing barriers and challenges to the listing of new companies in the capital market.

On behalf of BSEC, assurance was given that the Commission would extend all possible support for the development of the capital market and the welfare of investors. The meeting also discussed various other issues related to the development and advancement of the capital market.



Meeting of BSEC with a Delegation of the Bangladesh Association of Publicly Listed Companies (BAPLC)

## 2.12 Meeting of BSEC with the Surveillance Team of Dhaka Stock Exchange PLC (DSE)

A meeting between the Bangladesh Securities and Exchange Commission (BSEC) and the Surveillance Team of Dhaka Stock Exchange PLC (DSE) was held on June 21, 2026, at the BSEC Building in Agargaon, Dhaka. BSEC Acting Chairman Mr. Tanwir Habib Rahman, CPA, BSEC Commissioner Nahid Mahtab, BSEC Commissioner Mr. Md. Nafeez Al Tarik, CFA, FRM, along with Executive Directors and relevant officials of BSEC, among others, attended the meeting. On behalf of DSE, Nuzhat Anwar, Managing Director of DSE, and Mohammad Shafiqul Islam Bhuiyan, Acting Chief Regulatory Officer (CRO), attended the meeting.

The meeting discussed various issues, including the development and modernization of the capital market. Emphasis was placed on ensuring transparency in the capital market, protecting the interests of investors, and preventing all forms of irregularities and market manipulation. Recent measures and initiatives undertaken by DSE to curb market manipulation were also presented at the meeting.

The Commission reaffirmed its commitment to building a transparent, accountable, and effective capital market. Particular emphasis was placed on strengthening the stock exchange's real-time surveillance and necessary control mechanisms in line with international best practices to prevent all forms of market manipulation. The meeting also discussed the development and modernization of the capital market surveillance system to ensure the protection of investors' interests in the country's capital market.



Meeting of BSEC with the Surveillance Team of Dhaka Stock Exchange PLC (DSE)

### 2.13 Training for Employees of Merchant Banker and Portfolio Manager Institutions on the Existing Laws, Rules and Regulations of the Commission

To provide employees of various Merchant Banker and Portfolio Manager institutions operating in the capital market with a comprehensive understanding of the existing laws, rules and regulations of the Bangladesh Securities and Exchange Commission (BSEC), a four-batch training programme was organized by BSEC on June 21, 23, 25 and 28, 2026. A total of 208 participants attended the training programme.

The training was held at the Multipurpose Hall on the 3rd floor of the Securities Commission Building. The programme covered the Securities and Exchange Ordinance, 1969; Bangladesh Securities and Exchange Commission Act, 1993; Securities and Exchange Commission (Merchant Banker and Portfolio Manager) Rules, 1996; Bangladesh Securities and Exchange Commission (Public Offer of Equity Securities) Rules, 2025; Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021, and other relevant laws, rules and regulations.

The training sessions were conducted from 9:30 a.m. to 3:30 p.m. each day. The primary objective of the training was to provide participants with a comprehensive understanding of the existing laws, rules and regulations of BSEC. The Financial Literacy Division of BSEC regularly organizes such training programmes to enhance the knowledge and regulatory awareness of capital market professionals.



Training for Employees of Merchant Banker and Portfolio Manager Institutions on the Existing Laws, Rules and Regulations of the Commission

# PART- II

### 03. Capital Market Regulatory Reforms and Compliance

The functions of the CMRRC department are as follows:

1. Preparing and sending the draft of the Capital Market Laws to the Financial Institutions Department;
2. Formulation of capital market related regulations;
3. Promulgation of orders, instructions and notifications from time to time for the benefit of the capital market and investors;
4. Preparing draft answers raised in Bangladesh National Parliament regarding capital market;
5. Preparing the proceedings of the meeting related to the capital market held in the Parliamentary Standing Committee and taking appropriate action in view of the decision of the meeting; and
6. Providing opinions on draft laws sent from various ministries/departments.

The following amendments/orders/directives have been issued in April-June, 2026.

| Serial No | Subject                                                                                                                                                           | Classification | Reference No.                                                                                                                        |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--------------------------------------------------------------------------------------------------------------------------------------|
| 01        | Notification regarding the amendment to the Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021                                           | Notification   | 53.02.0000.000.201.22.0373.20.99.272.161<br>Dated: 07 April 2026, which has been published in the Bangladesh Gazette on May 05, 2026 |
| 02        | Order regarding conversion of closed-end mutual fund/scheme into open-end mutual fund/scheme                                                                      | Order          | 53.02.0000.000.201.22.0157.06.100.272.162<br>Dated: 07 May 2026, which has been published in the Bangladesh Gazette on May 24, 2026  |
| 03        | Amendment to the Notification regarding raising of capital during reconstitution of Board of Directors of a company by its Primary Regulator                      | Notification   | 53.02.0000.000.201.22.0193.09.101.272.163<br>Dated: 13 May 2026, which has been published in the Bangladesh Gazette on May 24, 2026  |
| 04        | Directive regarding to allow 'A' category bank listed with any stock exchange for maintaining the dividend account with its own bank.                             | Directive      | 53.02.0000.000.201.22.0193.09.102<br>Dated: 13 May 2026                                                                              |
| 05        | Notification regarding বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন [পুঁজিবাজার সংশ্লিষ্ট তথ্য প্রকাশ ও তথ্য-প্রকাশকারীর (Whistleblower) সুরক্ষা প্রদান] বিধিমালা, | Notification   | 53.02.0000.000.201.22.0436.24.103.272.164<br>Dated: 18 May 2026, which has been published in the Bangladesh Gazette on May 24, 2026  |
| 06        | Regarding eligibility of Qualified Investors (QI) for subscription in the QIO and trading at the SME platform of the Stock Exchange(s).                           | Directive      | 53.02.0000.000.201.22.0193.09.104<br>Dated: 19 May 2026.                                                                             |

## 04. Corporate Finance

Capital market plays a significant role in the economy as a source of long-term financing. Corporate Finance Division of the Commission accords consent for the issuance of equity and debt securities through public offer and private offer which facilitates long term financing to the issuer Companies and institutions. The division also performs activities related to accord consent to the listed issuer companies to issue right share. In addition, the division performs activities related to accord consent to the small capital companies through Qualified Investor Offer (QIO). Bangladesh Securities and Exchange Commission (BSEC) accords consent to raise capital under the following rules:

- Securities and Exchange Commission (Issue of Capital) Rules, 2001;
- সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন (সম্পদ ভিত্তিক সিকিউরিটিজ ইস্যু) বিধিমালা, ২০০৪
- Securities and Exchange Commission (Rights Issue) Rules, 2006;
- Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021;
- Bangladesh Securities and Exchange Commission (Qualified Investor Offer by Small Capital Companies) Rules, 2022;
- Notification No. BSEC/CMRRCD/2009-193/46/Admin/138, dated 03 October 2022;
- Bangladesh Securities and Exchange Commission (Public Issue) Rules, 2025;

### Capital Raising through Debt Securities:

From April 01, 2026 to June 30, 2026, the Commission has accorded consent to 01 (one) company for rising capital through issuance of debt security of Tk. 1,200.00 crore under Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021. The following table exhibits position of such capital raising:

| MEDIUM OF RAISING CAPITAL | NO. OF COMPANIES | AMOUNT IN BDT (CRORE)   |                          |                        |
|---------------------------|------------------|-------------------------|--------------------------|------------------------|
|                           |                  | PUBLIC OFFER (BDT. CR.) | PRIVATE OFFER (BDT. CR.) | TOTAL OFFER (BDT. CR.) |
| Subordinated Bond         | 01               | -                       | 1,200.00                 | 1,200.00               |

### Issue of Capital

From April 01, 2026 to June 30, 2026, the Commission accorded consent to 07 (seven) issuer companies for raising capital of Tk 2,125.25 crore under the Securities and Exchange Commission (Issue of Capital) Rules, 2001. The following table exhibits a summary of such capital raising:

| Nature of raising capital | Number of companies | Total capital (Crore Taka) |
|---------------------------|---------------------|----------------------------|
| Bonus Share               | 05                  | 313.31                     |
| Preference Share          | 02                  | 1,811.94                   |
| <b>Total</b>              | <b>07</b>           | <b>2,125.25</b>            |

\* The Commission accords consent to issuer companies for increasing paid-up capital through the issuance of bonus shares, as applicable, as per notification no. BSEC/CMRRCD/2009-193/46/Admin/138 dated October 03, 2022;

## 05. Registration

Registration Department Issue and renew of registration certificates to stock dealers, stock brokers, authorized representatives, asset managers, market makers, trustees, fund managers and given approval of digital booths, opening of branch offices, transfer of offices of stock dealers/stock brokers/merchant banks and other market intermediaries. Registration Activities during April to June 2026

| Sl. No. | Type of Certificate             | Name of the Concerned Rules                                                                 | Number of Certificate Issued | Number of Certificate Renewed/ Annual Fee received | Permission for Branch Opening of SB | Relocation/ Shifting/Name Change of MB and SD/SB | Remarks                                                                 |
|---------|---------------------------------|---------------------------------------------------------------------------------------------|------------------------------|----------------------------------------------------|-------------------------------------|--------------------------------------------------|-------------------------------------------------------------------------|
| 01      | Stock Dealer (DSE)              | সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (স্টক ডিলার, স্টক ব্রোকার ও অনুমোদিত প্রতিনিধি) বিধিমালা, ২০০০ | -                            | 75                                                 | -                                   | 1 Stock Dealer certificate name change           | Name of 1 Stock Dealer has not been changed and certificate not renewed |
|         | Stock Broker (DSE)              | -এ-                                                                                         | -                            | 78                                                 | -                                   | 1 Stock Dealer certificate name change           | Name of 1 Stock Broker has not been changed and certificate not renewed |
| 02      | Stock Dealer (CSE)              | -এ-                                                                                         | -                            | 59                                                 | -                                   | -                                                |                                                                         |
|         | Stock Broker (CSE)              | -এ-                                                                                         | -                            | 68                                                 | -                                   | -                                                |                                                                         |
| 03      | Authorized Representative (DSE) | -এ-                                                                                         | -                            | 188                                                | -                                   | -                                                |                                                                         |
| 04      | Authorized Representative (DSE) | -এ-                                                                                         | -                            | 25                                                 | -                                   | -                                                |                                                                         |
| 05      | Depository Participants         | ডিপজিটরি (ব্যবহারিক) প্রবিধানমালা, ২০০৩                                                     | -                            | 114                                                | -                                   | -                                                | 1 Asset Management Company Application Rejected                         |
| 06      | Trustee of Debt Securities      | Bangladesh Securities and Exchange Commission (Debt Securities) Rules, 2021                 | 01                           | -                                                  | -                                   | -                                                |                                                                         |
| 07      | Permission of Digital Booth     | Directive Date 13 December 2020                                                             | -                            | -                                                  | -                                   | Relocation of 3 digital booths                   |                                                                         |

## 6. Surveillance

### Surveillance Activities:

Surveillance Department monitors the securities market to detect any irregularities, manipulative activities, or unusual trading patterns that may affect the normal flow and nature of the capital market. These includes securities price movements, trading volumes, and order flows. The surveillance Department actively monitors the market movements and also analyzes various irregular transactions. It also monitors possible violations of the securities Laws, market manipulation, insider trading, and other fraudulent activities that may undermine the transparency of the capital market and take timely steps to protect the confidence and interest of investor by ensuring transparency, accountability of the Capital market. Major activities of the Surveillance Department are as follows:

#### 1.0 Capital Market Monitoring and Supervision

As part of daily market surveillance, securities price movements, trading volumes, order flow etc. are monitored and necessary analysis performed through the ‘Instant Watch Market Surveillance System’ to detect market irregularities, suspicious transactions and misconduct.

#### 2.0 Market Intelligence

Surveillance Department are ensuring prudent use of information through monitoring ‘Business Intelligence’ module of ‘Instant Watch Market’ system, stakeholders, websites, relevant news and report for 360- degree monitoring and in-depth investigation of market irregularities and suspicious transactions.

#### 3.0 Enquiry and Investigation

When suspicious and manipulative transactions are initially detected, the Surveillance Department collects evidence, analyzes data, and identifies the involved persons or institutions, through investigation or inquiry, either within the Surveillance Department or with the stock exchange, subject to the approval of the Commission. Then the report is sent to the concerned department to take disciplinary action against the concerned persons or institutions. The following investigation or enquiry reports regarding share trading received from DSE and CSE have been forwarded from Surveillance Department for Enforcement Department for review and necessary action during April-June 2026.

| Sl. | Name of the Concerned Company/Matter                                                                                                                                                             | Investigator | Date of issuance of letter/order |
|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----------------------------------|
| 1   | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Shares of Daffodil Computers PLC (DAFODILCOM).                                                          | DSE          | April 02, 2026                   |
| 2   | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Shares of (08) Eight NBFIs (FASFI, PREMIERLEA, AREASTFIN, PLFSL, ILFSL, PRIMEFIN, GSPFINANCE and BIFC). | DSE          | April 02, 2026                   |
| 3   | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Prime Textile Spinning Mills Ltd.                                                                       | DSE          | April 07, 2026                   |
| 4   | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Bangladesh Autocars Limited (BDAUTOCA).                                                                 | DSE          | April 13, 2026                   |



| Sl. | Name of the Concerned Company/Matter                                                                                                            | Investigator | Date of issuance of letter/order |
|-----|-------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----------------------------------|
| 5   | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the National Tea Company Limited (NTC).                    | DSE          | April 13, 2026                   |
| 6   | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the ACME Pesticides Limited (ACMEPL)                       | DSE          | April 20, 2026                   |
| 7   | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Hamid Fabrics Limited (HFL).                           | DSE          | April 23, 2026                   |
| 8   | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Apex Spinning & Knitting Mills Limited (APEXSPINN).    | DSE          | April 27, 2026                   |
| 9   | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Rangpur Dairy & Food Products Ltd. (RDFOOD).           | DSE          | June 03, 2026                    |
| 10  | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Meghna Condensed Milk Industries Ltd. (MEGCONMILK).    | DSE          | June 10, 2026                    |
| 11  | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Sonargaon Textiles Ltd. (SONARGAON)                    | DSE          | June 15, 2026                    |
| 12  | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Nahee Alminium Composite Panel PLC. (NAHEEACP).        | DSE          | June 15, 2026                    |
| 13  | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Bangladesh National Insurance Company Limited (BNICL). | DSE          | June 15, 2026                    |
| 14  | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Shyampur Sugar Mills Ltd. (SHYAMPSUG).                 | DSE          | June 15, 2026                    |
| 15  | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Al-Madina Pharmaceuticals Limited (AMPL).              | DSE          | June 15, 2026                    |
| 16  | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the Regent Textile Mills Ltd. (REGENTTEX)                  | DSE          | June 17, 2026                    |
| 17  | Investigation into the Suspected Irregularities and Suspicious Trading Activities in the IPDC Finance PLC (IPDC).                               | DSE          | June 18, 2026                    |

#### 4.0 Taking necessary actions related to the investigation or enquiry report:

During April-June 2026, Necessary actions have been taken based on the review of the following investigation/enquiry reports received from other departments of the Commission, DSE, CSE, and other departments/agencies during April-June 2026.

| Sl. | Name of the Concerned Company/Matter                                                                                             | Submitter/Received from | Current status of the proceedings of the received investigation/ enquiry report.                                                              |
|-----|----------------------------------------------------------------------------------------------------------------------------------|-------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|
| 1   | Investigation Report on the Suspected Irregularities in the Share Trading of Fine Foods Limited (FINEFOODS).                     | DSE                     | The matter has been sent to the Enforcement Department of the Commission.                                                                     |
| 2   | Investigation Report on the Suspected Irregularities in the Share Trading of Alif Industries Limited (AIL)                       | DSE                     | Do                                                                                                                                            |
| 3   | Investigation Report on the Suspected Irregularities in the Share Trading of Web Coats PLC. (WEBCOATS)                           | DSE                     | Do                                                                                                                                            |
| 4   | Investigation Report on the Suspected Irregularities in the Share Trading of BD Thai Food & Beverage Limited (BDTHAIFOOD)        | DSE                     | Do                                                                                                                                            |
| 5   | Investigation Report on the Suspected Irregularities in the Share Trading of Mostafa Metal Industries Limited (MOSTFAMETL)       | DSE                     | Do                                                                                                                                            |
| 6   | Investigation Report on the Suspected Irregularities in the Share Trading of MK Footwear PLC (MKFOOTWEAR)                        | DSE                     | Do                                                                                                                                            |
| 7   | Investigation Report on the Suspected Irregularities in the Share Trading of Dominage Steel Building Systems Limited (DOMINAGE). | DSE                     | Do                                                                                                                                            |
| 8   | Investigation Report on the Suspected Irregularities in the Share Trading of City Insurance PLC. (CITYGENINS)                    | DSE                     | Do                                                                                                                                            |
| 9   | Investigation Report on the Suspected Irregularities in the Share Trading of Asiatic Laboratories Limited (ASIATICLAB)           | DSE                     | Do                                                                                                                                            |
| 10  | Report on the Enquiry into the Material Affairs of Sharp Industries PLC (SHARPIND) (formerly R.N. Spinning Mills Ltd.).          | DSE                     | Do                                                                                                                                            |
| 11  | Investigation Report on the Suspected Irregularities in the Share Trading of Bangladesh Autocars Limited (BDAUTOCA)              | DSE                     | As no violation of the securities laws or regulations was found in the investigation report, the matter has been disposed of as per approval. |
| 12  | Investigation Report on the Suspected Irregularities in the Share Trading of National Tea Company Limited (NTC)                  | DSE                     | The matter has been sent to the Enforcement Department of the Commission.                                                                     |
| 13  | Investigation Report on the Suspected Irregularities in the Share Trading of ACME Pesticides Limited (ACMEPL)                    | DSE                     | Do                                                                                                                                            |
| 14  | Investigation Report on the Suspected Irregularities in the Share Trading of Daffodil Computers PLC (DAFODILCOM).                | DSE                     | Do                                                                                                                                            |

| Sl. | Name of the Concerned Company/Matter                                                                                             | Submitter/<br>Received<br>from | Current status of the proceedings of the<br>received investigation/ enquiry report. |
|-----|----------------------------------------------------------------------------------------------------------------------------------|--------------------------------|-------------------------------------------------------------------------------------|
| 15  | Investigation Report on the Suspected Irregularities in the Share Trading of Hami Industries Ltd. (HAMI)                         | DSE                            | The matter has been sent to the Enforcement Department of the Commission.           |
| 16  | Investigation Report into the Block Market Trading of the Shares of Khan Brothers PP Woven Bag Industries Limited (KBPPWBIL).    | DSE                            | Do                                                                                  |
| 17  | Investigation Report on the Suspected Irregularities in the Share Trading of Meghna Condensed Milk Industries Ltd. (MEGCONMILK). | DSE                            | Do                                                                                  |
| 18  | Investigation Report on the Suspected Irregularities in the Share Trading of Meghna Pet Industries Ltd. (MEGHNAPET).             | DSE                            | Do                                                                                  |

#### 5.0 Other activities during April-June 2026:

- i. Providing surveillance information to the Inspection Committee regarding the irregularities and non-compliance with regulatory requirements by Vertex Stock and Securities Limited.
- ii. Providing information and relevant documents to the Internal Investigation Committee constituted in connection with Emerald Oil Industries Limited.
- iii. Submitting the detailed desk-wise work distribution of the Division to the Administration Department for approval of the proposed organizational structure.
- iv. Regarding withdrawal of Floor Price from the shares of BEXIMCO Limited and Islami Bank Bangladesh PLC.
- v. Examining daily system-generated alerts, trade reports, and share buy/sell orders, and taking necessary actions.
- vi. Providing necessary information requested by different departments or Enquiry, or Investigation teams.

## 07. Supervision of Market and Market Intermediaries

In order to protect the interest of investors in securities, to increase the confidence of investors towards the capital market and to develop the securities market, SMMI Department supervises and regulates the activities of Stock-Dealers/Stock Brokers, Depository Participants, Merchant Bankers, Credit Rating Companies, Securities Custodian, Asset Management Companies and other market intermediaries. The department also deals with the complaints lodged by the investors. During April-June 2026 the following activities, among others, were performed by this Department:

### A. Settlement of Complaints of Investors:

SMMI department is responsible for settling the complaints of the investors of securities market. Since September 30, 2019, the investors have been submitting their complaints online through Customer Complaint Address Module (CCAM). Using the Module, the investors can easily track the current status of their complaints online. A summary of the complaints lodged by investors through CCAM are furnished below:

| Types of Institutions against complaint received | Number of complaints received | Resolved  | Under process |
|--------------------------------------------------|-------------------------------|-----------|---------------|
| Stock Broker/Stock Dealer                        | 22                            | 5         | 17            |
| Merchant Bank                                    | 7                             | 2         | 5             |
| Asset Manager                                    | 2                             | 0         | 2             |
| Custodian                                        | 0                             | 0         | 0             |
| CDBL                                             | 9                             | 4         | 5             |
| <b>Total</b>                                     | <b>40</b>                     | <b>11</b> | <b>29</b>     |

In addition to the complaints lodged through CCAM, investors also lodged complaints to the Commission manually. A summary of the said complaints is furnished below:

| Type of Institutions against which complaint received | Previous unresolved complaints | complaints received during the period | Total Complaints | Sent for DSE/CSE | Resolved | Uploaded in CCAM | Under process |
|-------------------------------------------------------|--------------------------------|---------------------------------------|------------------|------------------|----------|------------------|---------------|
| Stock Broker/Stock Dealer                             | -                              | 9                                     | 9                | 5                | 3        | -                | 1             |
| <b>Total</b>                                          | <b>-</b>                       | <b>9</b>                              | <b>9</b>         | <b>5</b>         | <b>3</b> | <b>-</b>         | <b>1</b>      |

### B. Exchange of Information with Government Organizations:

As per the decision of the monthly coordination meeting, held on 08, December 2024, the Market Intelligence and Investigation Division (MII) acts as the Control Point for letters received from other organizations regarding investigation-related matters.

**C. Action taken on the inspection reports submitted by the inspection teams:**

As per instructions of the Commission, Chittagong Stock Exchange Limited (CSE) and Dhaka Stock Exchange Limited (DSE) conducted routine inspection on the TREC holder companies and submitted reports to the Commission. During April-June 2026, the following actions were taken on the inspection reports received by SMMI Department.

| Inspection conducted by | Previous reports under examination | Reports received during the period | Total Reports | Sent for enforcement action | Disposed off with warning letter issued by SMMI Department | Disposed off | Under process |
|-------------------------|------------------------------------|------------------------------------|---------------|-----------------------------|------------------------------------------------------------|--------------|---------------|
| DSE                     | -                                  | 25                                 | 25            | 13                          | -                                                          | 11           | 1             |
| CSE                     | -                                  | 8                                  | 8             | 4                           | -                                                          | 4            | 0             |
| <b>Total</b>            | <b>-</b>                           | <b>33</b>                          | <b>33</b>     | <b>17</b>                   | <b>-</b>                                                   | <b>15</b>    | <b>1</b>      |

**D. Examination of Audited Financial Statements of TREC holder companies:**

SMMI Department, in collaboration with the stock exchanges, examines the Audited Financial Statements (AFS) of TREC holder companies. During April-June 2026, the following activities have been performed regarding examination of audited financial statements of TREC holders:

| Particulars                                                                                     | Number of TREC Holder Companies |     |
|-------------------------------------------------------------------------------------------------|---------------------------------|-----|
|                                                                                                 | CSE                             | DSE |
| Examination of the Audited Financial Statements of TREC Holder companies by the stock exchanges | 20                              | 165 |
| Conduction of meeting with the TREC holder companies by the stock exchanges                     | 0                               | 15  |

**E. Appointment of Managing Director/CEO of Merchant Bankers:**

During April-June 2026, the following activities have been performed by the Department regarding appointment of Managing Director/CEO of Merchant Bankers:

| Matter of Application                                                  | Number of previous applications | Number of application received during the period | Total number of application | Approve by the Commission | Decline by the Commission | Under process |
|------------------------------------------------------------------------|---------------------------------|--------------------------------------------------|-----------------------------|---------------------------|---------------------------|---------------|
| Appointment of Managing Director/CEO                                   | 2                               | 7                                                | 9                           | 9                         | -                         | -             |
| Time extension for appointment of Managing Director/CEO in vacant post | 1                               | -                                                | 1                           | -                         | 1                         | -             |
| <b>Total</b>                                                           | <b>3</b>                        | <b>7</b>                                         | <b>10</b>                   | <b>9</b>                  | <b>1</b>                  | <b>-</b>      |

## 08. Enforcement

The Enforcement Department takes legal measures, including imposition of monetary penalty, considering the intensity of the violation of securities laws against the accused in the capital market. Inspections, investigations and enquiries are carried out under the securities laws by the Commission. On the basis of the violations mentioned in the inspection, enquiry and investigation report, show cause cum hearing notice is issued to the accused for violation of securities related laws. After conducting hearing and obtaining explanation from the accused, the Commission takes legal action against the accused in accordance with the Securities Laws.

The Enforcement actions taken by the Commission against the Issuer Companies, Stock Broker, Stock Dealers, Merchant Bankers, Authorized Representatives and other concerned due to non-compliance of securities related laws during the period April-June 2026 are furnished below:

| SI. No | Particulars                                         | Penalty   | Warning   | Dispose off | Total     |
|--------|-----------------------------------------------------|-----------|-----------|-------------|-----------|
| 01     | Issuer Company/Director                             | 02        | 01        | 0           | 03        |
| 02     | Stock Broker/Stock Dealer/Authorized Representative | 0         | 03        | 0           | 03        |
| 03     | Asset Management Company Ltd.                       | 01        | 0         | 0           | 01        |
| 04     | Others                                              | 01        | 02        | 02          | 05        |
|        | <b>Grand Total</b>                                  | <b>04</b> | <b>06</b> | <b>02</b>   | <b>12</b> |

## 09. International Affairs

International Affairs Department carries out all activities for participation in trainings/ webinars/ meetings/ symposiums organized by international organizations on various issues related to capital markets. During April-June 2026 the following functions were performed at the International Affairs Department:

| Sl. | Activities                                                                                                                         | Particulars                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|-----|------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1   | Arranging/participation in trainings (virtual & host-sponsored in-person) of international organizations regarding capital market. | <p>Arrangements (file placement) for attending</p> <ol style="list-style-type: none"> <li>1. Webinar on Financial Inclusion in Capital Markets: Regulatory Design and Implementation Considerations;</li> <li>2. Phase II of the IOSCO/PIFS-HLS Global Certificate Program for regulators of securities markets on International Financial Systems at Harvard Law School;</li> <li>3. Asia-Pacific Regional Committee, IOSCO to attend the upcoming APRC Virtual Roundtable on new AI frontier models and how these are transforming cybersecurity risks;</li> <li>4. 20th Monetary Authority of Singapore-Toronto Centre Regional Leadership Programme (RLP) for Securities Regulators;</li> <li>5. US SEC virtual program on combating investment fraud;</li> <li>6. Webinar by IOSCO Asia-Pacific Regional Committee (APRC) on Supervisory Technology (SupTech) &amp; fraud detection in capital market;</li> <li>7. IOSCO Asia Pacific Hub webinar on voluntary carbon markets: market structure, integrity and regulatory supervision; and</li> <li>8. IOSCO-Wharton Online FinTech Course.</li> </ol> |
| 2   | Taking part in the Query & Survey of international organizations after assessing its relevance                                     | <ol style="list-style-type: none"> <li>1. Implementation of the IOSCO Supervisory MMOU; and</li> <li>2. Leverage funds data collection-2026.</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 3   | Maintaining strategic relationship with different countries and organizations.                                                     | <ol style="list-style-type: none"> <li>1. Congratulation letter has been sent to the Monetary Authority of Singapore on its being elected as IOSCO Asia-Pacific Regional Committee (APRC) Board Representative for the 2026-2028 term;</li> <li>2. IOSCO ordinary members, IOSCO MMoU Monitoring Group, IOSCO Growth and Emerging Markets Committee (GEMC), IOSCO Asia-Pacific Regional Committee (APRC); and Sustainable Banking and Finance Network (SBFN) have been intimated of the appointment of the new Chairman of the Commission; and</li> <li>3. Updated data input has been provided for Asian Development Bank (ADB) Asia SME Monitor (ASM) 2026 Edition.</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                                                            |

## 10. Corporate Reporting

As per new organogram of the Commission, there are two departments under Chief Accountant Division which are:

- a) Accounting and Auditing Policy Department and
- b) Corporate Reporting Department.

The Main functions of the corporate reporting department are as follows: -

- i. Supervision of timely submission of annual and interim financial statements by the listed companies;
- ii. Review of Interim Financial Statements of the listed companies;
- iii. Review of Audited Financial Statements of the listed companies;
- iv. Review of utilization reports of capital raised through IPO, QIO, RPO, Rights Issue;
- v. Proposal for inspection/ enquiry/ investigation; and
- vi. Any other job assigned by the Commission.

A summary of the activities of corporate reporting department for April-June 2026 is presented in the following table:

### Regulatory Submissions

| Indicators                                                    | Number of Submitted Reports |
|---------------------------------------------------------------|-----------------------------|
| Submission of Corporate Annual Audited Financial Statements   | 89*                         |
| Submission of First Quarter (Q1) Interim Financial Statements | 103*                        |
| Submission of Half Yearly (Q2) Interim Financial Statements   | 04*                         |
| Submission of Third Quarter (Q3) Interim Financial Statements | 181*                        |
| Submission of utilization of IPO/RPO/RI/QIO proceeds          | 10                          |

### Regulatory Action

| Indicators                                                                                         | No. of Actions |
|----------------------------------------------------------------------------------------------------|----------------|
| <b>Annual Audited Financial Statements:</b>                                                        |                |
| Further disclosure, information or explanation required                                            | 04             |
| Time extended for submission of financial statements                                               | 17             |
| Application for time extension rejected                                                            | 07             |
| Referred to enforcement department to take action against issuer company for late & non-submission | 00             |
| Number of qualified opinion/Adverse opinion/Disclaimer from external independent auditor           | 19*            |
| <b>First Quarterly (Q1) Financial Statements:</b>                                                  |                |
| Time extended for submission of financial statements                                               | 13             |
| Application for time extension rejected                                                            | 03             |
| Referred to enforcement department to take action against issuer company for late & non-submission | 00             |

| Indicators                                                                                                           | No. of Actions |
|----------------------------------------------------------------------------------------------------------------------|----------------|
| <b>Second Quarterly (Q2) Financial Statements:</b>                                                                   |                |
| Time extended for submission of financial statements                                                                 | 00             |
| Application for time extension rejected                                                                              | 00             |
| Referred to enforcement department to take action against issuer company for late & non-submission                   | 00             |
| <b>Third Quarterly (Q3) Financial Statements:</b>                                                                    |                |
| Time extended for submission of financial statements                                                                 | 01             |
| Application for time extension rejected                                                                              | 01             |
| Referred to enforcement department to take action against issuer company for late & non-submission                   | 00             |
| <b>Utilization Reports of IPO/RPO/RI/QIO proceeds:</b>                                                               |                |
| Time extended for submission of utilization reports                                                                  | 00             |
| Application for time extension rejected                                                                              | 01             |
| Referred to enforcement department to take action against issuer company for late & non-submission                   | 00             |
| <b>Action taken against issuer company:</b>                                                                          |                |
| Referred to enforcement department to take action against issuer company for non-compliance with the securities laws | 25             |

\*The information is collected from DSE's financial Statements Submission Portal (<https://www.dsebd.org/financial-statement-submission-status-extended.php>).

[Duration: 01 April 2026-30 June 2026].

## 11. Management Information Systems

The main objective of MIS Department, ICT Division is to carry out development of ICT infrastructure, maintenance, and its upgradation, introduce e-services to facilitate ease of doing business and build up a digitalized capital market monitoring system.

The activities of MIS Department are given below:

- Providing technical assistance in the use of government d-Nothi system for official activities;
- Installation and maintenance of official computer hardware and software;
- Providing technical support for various meetings / seminars / presentations including online meetings;
- Ensuring uninterrupted internet service of 200 Mbps speed (including 100 Mbps redundant connection);
- Taking back-up and preserving the digital information of the Commission;
- Preparing Technical Specification for IT related purchases;
- Taking steps to ensure the cyber security of the Commission's information;
- Arranging training for the employees of the Commission on Information Security Awareness;
- Providing necessary assistance in completing the tender process of the Commission through e-GP portal;
- Carrying out system development / modification / upgradation / maintenance as per requirements of different departments of the Commission;
- Keeping the Commission's website (domain- www.sec.gov.bd) up and running, updating information and ensuring cyber security etc.

### The activities accomplished/continued during April-June 2026

1. During April-June, 2026, 70 IT support requests (Ticket Status - Closed/In Review) have been resolved through IT Support Ticket Management System (ITMS). 396 IT support requests have been properly resolved in view of phone/email/verbal official instructions of officers other than ITMS tickets.
2. The commission's website is being redesigned with the help of modern technology to facilitate information-based service-access. The website will soon be open for public use..
3. The following information has been uploaded on the Commission's website April-June, 2026:
  - Orders/Notifications/Directives etc. : 04;
  - Securities Laws, Rules, Regulations : 05;
  - Enforcement Actions : 21;
  - Comments request on draft rules /Amendment : 03;
  - BSEC Office Order/Circular : 02;
  - Press Releases : 17;
  - Tender Notices : 02;
  - Annual Report : 01;
  - Citizen Charter : 01;
  - NOC : 02; and
  - Other received list

## 12. Legal Services

### Court Cases of Bangladesh Securities and Exchange Commission

During the April-June 2026 total of 17 cases was filed against Bangladesh Securities and Exchange Commission in different Courts and 31 cases was disposed of. The details of the cases are as follows:

### Cases filed by BSEC or against BSEC during April-June 2026

| Cases filed by and filed against BSEC | Number of cases | Brief description                                                                                                                                                                      |
|---------------------------------------|-----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Cases filed against BSEC              | 17              | 17 Writ Petitions were filed in the Hon'ble High Court Division of the Supreme Court of Bangladesh challenging securities laws, penalty order of the Commission and for other reasons. |

### Disposed cases in different Courts during April-June 2026

| Number of disposed of Cases | Brief description                                                                      |
|-----------------------------|----------------------------------------------------------------------------------------|
| 31                          | 31 cases have been disposed on favour or against of the Commission in the said period. |

### 13. Investment Management

#### Mutual Fund, CIS & Securitization Department

The activities of Mutual Fund, CIS & Securitization Department are mainly related to Registration, Winding-up and Conversion of Mutual Funds and Exchange Traded Fund and Supervision & Monitoring activities of parties involved in Mutual Funds and Exchange Traded Fund.

#### Activities related to the Approval of Trust Deed and IMA

In April-June 2026 this department didn't provide any approval of Trust Deed and IMA.

#### Activities related to the issuance of registration certificate of the mutual funds

In April-June 2026 this department didn't issue any registration certificate.

#### Activities related to the issuance of prospectus of the mutual funds

In April-June 2026 this department didn't approve any prospectus.

#### Alternative Investment Department

The activities of Alternative Investment Department are mainly related to Registration, Supervision and Monitoring of Alternative Investment Funds.

#### Activities related to the Approval of Trust Deed and IMA

In April-June 2026 Alternative Investment Department didn't approve any trust Deed and IMA.

#### Activities related to the issuance of registration certificate of the Funds

In April-June 2026 Alternative Investment Department didn't issue any registration certificate.

#### Activities related to the Supervision of the Funds

##### A. Examination of Audited Financial Statements of the Funds sent from Fund Manager:

Alternative Investment Department examines the Audited Financial Statements (AFS) of Alternative Investment Funds. In April-June 2026 the following activities have been performed regarding examination of audited financial statements of the Funds:

| Particulars                                                                                         | Number |
|-----------------------------------------------------------------------------------------------------|--------|
| Observations on the Audited Financial Statements of the Fund                                        | 01     |
| Sending to the Enforcement Department after Examining the Audited Financial Statements of the Funds | -      |

## 14. Financial Literacy

### Activities of Financial Literacy Division for the period of January-March, 2026.

As a part of countrywide financial literacy program, Financial Literacy Division of the Commission organizes different training, seminar, workshop and conference that help the investors to get knowledge for taking appropriate investment decision. Besides, this division performs the activities related to investor's education program which is organized by market intermediaries, training to the employees working at the organizations in the capital market. Financial Literacy Division also organizes programs to observe the IOSCO World Investor Week.

The activities of Financial Literacy Division and the activities of DSE & CSE under the supervision of this division for the period of April-June 2026 are given below:

| Sl. | Particulars of Training                                                  | Number of participants |
|-----|--------------------------------------------------------------------------|------------------------|
| 01. | Investor's education program                                             | 376                    |
| 02. | Training for authorized representatives of DSE TREC Holders              | 43                     |
| 03. | Training on Capital Raising by Public Offer for SME Entrepreneurs        | 35                     |
| 04. | Women Investor's education program                                       | 26                     |
| 05. | Training for Market Intermediaries (Merchant Banker & Portfolio Manager) | 208                    |
|     | <b>Total</b>                                                             | <b>688 Persons</b>     |

## 15. Financial Derivatives

Information regarding the activities performed by the Financial Derivatives Department during the period April-June 2026 is presented below:

DSE (Dhaka Stock Exchange) is currently conducting a feasibility study aimed at introducing financial derivatives to the country's capital market. To expedite this study and discuss other matters related to the introduction of financial derivatives, the Financial Derivatives Department organized a meeting with relevant DSE representatives. During the meeting, necessary directives were provided to further accelerate the activities associated with the feasibility study

## 16. Commodity Products

Information regarding the activities performed by the Commodity Products Department during the period April-June 2026 is presented below:

A comprehensive action plan has been formulated with the aim of expediting the launch of commodity derivatives at the CSE. This action plan outlines a clear and time-bound roadmap for implementing commodity derivatives trading activities.

## 17. Public Relation

The Public Relations Department of the Bangladesh Securities and Exchange Commission (BSEC) performs necessary functions related to the public relations activities of the Commission. The department plays an important role in informing the mass media and relevant stakeholders about the activities of the Commission, maintaining coordination with the media, conducting various communication and publicity activities, and enhancing the image of the Commission.

The quarterly activities of the Public Relations Department for the period April to June 2026 are as follows:

01. Taking steps to publish 04 (four) gazettes from Bangladesh Forms and Publications Office.
02. A total of 25 (Twenty five) press releases have been issued by the Commission with the assistance of the Public Relations Department. The issued press releases have been published on the websites of the Commission ([www.sec.gov.bd](http://www.sec.gov.bd)).

## 18. Market Intelligence and Investigation

### Activities of Market Intelligence Department (MID)

The Market Intelligence Department (MID) of the Commission consists with two sections namely (i) Exchanges Affairs Section and (ii) Issuer Companies and Other Intermediaries Affairs Section. The functions performed by the Market Intelligence Department during April- June 2026 are as follows:

- 1) Collecting online and offline intelligence on market manipulation, rumors and fraudulent activities related to capital market;
- 2) After collecting information on one (01) fraudulent activity conducted through social media, the findings have been forwarded to the concerned department for appropriate action.

In addition, the below mentioned activities also performed by the Market Intelligence Department:

| Sl | Activities                                                                                                                                                                                   |
|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 01 | Provide information to the International Affairs Department for issuing an investor alert regarding the fraudulent activities of Prosperia Securities Group Ltd. and other online platforms. |
| 02 | Create a separate sub-menu titled "Investor Alert/Warning" on the Commission's website for publishing investor warning notices.                                                              |
| 03 | Collect information regarding the suspected trading activities in the shares of Runner Automobiles Ltd. and Legacy Footwear Ltd.                                                             |
| 04 | Collect information on individuals involved in or suspected of engaging in capital market manipulation.                                                                                      |
| 05 | Prepare a weekly report on capital market related irregularities published in various var news media.                                                                                        |

Market Intelligence Department is conducting regular online and offline monitoring activities to gather information about possible irregularities in the capital market and the individuals involved in it.

### (a) Information Related to Inquiry/Investigation by the Inspection, Inquiry, and Investigation Department for the Period from April - June 2026

| Serial No. | Description                                                      | Number |
|------------|------------------------------------------------------------------|--------|
| 1          | Inquiry/Investigation Orders Issued                              | 16     |
| 2          | Inquiry Reports Submitted by the Inquiry/Investigation Committee | 20     |

### (b) Information Related to Inspection by the Inspection, Inquiry, and Investigation Department for the Period from April - June 2026

| Orders Issued | Report Submitted |
|---------------|------------------|
| 08            | 05               |

## 19. Trading-Related Information of Stock Exchanges

### DSE Operational Statistics

April-June 2026

| Trading Months | DSE Broad Index (DSEX) (as on last trading day) In Points | Market Capitalization (as on last trading day) Million Taka | No. of Trading Days Nos. | Turnover of Securities in (Million Quantity) |               | Traded Amount in (Million Taka) |                 |
|----------------|-----------------------------------------------------------|-------------------------------------------------------------|--------------------------|----------------------------------------------|---------------|---------------------------------|-----------------|
|                |                                                           |                                                             |                          | Monthly                                      | Daily Average | Monthly                         | Daily Average   |
| April          | 5,286.87                                                  | 6,851,187.33                                                | 21                       | 6,108.83                                     | 290.90        | 171,984.56                      | 8,189.74        |
| May            | 5,335.87                                                  | 6,844,332.45                                                | 17                       | 4,701.10                                     | 276.54        | 142,081.18                      | 8,357.72        |
| June           | 5,762.83                                                  | 6,986,923.05                                                | 22                       | 8,543.39                                     | 388.34        | 265,348.71                      | 12,061.31       |
| <b>Total =</b> | -                                                         |                                                             | <b>60</b>                | <b>19,353.32</b>                             | <b>322.56</b> | <b>579,414.44</b>               | <b>9,656.91</b> |

- Index and Market Capitalization of the last trading day of the months have been considered as relevant figures of respective months

### CSE Operational Statistics

April-June 2026

| Trading Months | All Share Price Index (as on last trading day) In Points | Market Capitalization (as on last trading day) Million Taka | No. of Trading Days Nos. | Turnover of Securities in (Million Quantity) |               | Traded Amount in (Million Taka) |               |
|----------------|----------------------------------------------------------|-------------------------------------------------------------|--------------------------|----------------------------------------------|---------------|---------------------------------|---------------|
|                |                                                          |                                                             |                          | Monthly                                      | Daily Average | Monthly                         | Daily Average |
| April          | 14,805.9765                                              | 9,097,022.93                                                | 21                       | 237.13                                       | 11.29         | 7,364.46                        | 350.69        |
| May            | 14,909.7327                                              | 9,223,563.88                                                | 17                       | 92.38                                        | 5.43          | 4,111.16                        | 241.83        |
| June           | 15,450.1786                                              | 9,477,242.51                                                | 22                       | 231.39                                       | 10.52         | 10,046.92                       | 456.68        |
| <b>Total =</b> | -                                                        | -                                                           | <b>60</b>                | <b>560.89</b>                                | <b>9.35</b>   | <b>21,522.55</b>                | <b>358.71</b> |

- Index and Market Capitalization of the last trading day of the months have been considered as relevant figures of respective months.

## BSEC Website Content

About BSEC ★ Notable Events ★ Press Release ★ Securities Laws ★ Investor's Information  
IPO Prospectus ★ Annual Report ★ Quarterly Report ★ Enforcement Actions  
List of Market Intermediaries ★ Employment Information ★ Tender Information  
Comments Request on Rules Amendment ★ Important Links

## Reference Room

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## Where you will find

- BSEC's Publications
- Prospectus of Listed Companies
- Annual Reports of Listed Companies
- Published Half-yearly Financial Statements of Listed Companies
- Securities Laws, Rules & Regulations/Gazette Notifications
- Local/Foreign Publications on Capital Market
- Opportunity to Become a Subscriber of BSEC's Publications



## Bangladesh Securities and Exchange Commission

### Securities Commission Bhaban

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