



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

o/c

BSEC/Enforcement/2630/2018-252
Dated: May 07, 2019

By Courier service

Uniroyal Securities Ltd
DSE TREC # 089
Zamman Court (2nd floor),
45 Dilkusha C/A,
Dhaka-1000.


Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2630/2018-136 dated 19.03.2019 based on which the hearing was conducted on April 10, 2019.

The Commission, considering the accused apology and written explanation vide letter no- -- dated April 10, 2019-which was submitted at the time of hearing, has decided to dispose off the proceedings against Uniroyal Securities Ltd by placing on record the Commission's dissatisfaction on the default/contravention made by the accused with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve Uniroyal Securities Ltd and any other involved from their lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


07.05.19

Md. Rafiqunnabi
Assistant Director
e-mail- rafiq@sec.bd.com
Cell- 01786 327 667

Copy for information:

1. Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (SRMIC), BSEC
4. Executive Director (MIS), BSEC
5. CRO, DSE
6. Office file