



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2647/2018/ 72

Dated: 12 February, 2019

Subvalley Securities Ltd.
(DSE TREC No. 168)
Room 437,
DSE Annex Building (3rd floor)
9/E, Motijheel C/A,
Dhaka 1000.

By Special Messenger

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2647/2018/28 dated January 13, 2019 based on which the hearing was conducted on January 30, 2019.

The Commission, considering your explanation vide letter no. SVS-42(MC)/2019 dated 29.01.2019 has decided to dispose of the proceedings against Subvalley Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Al Masum Mirdha
12.02.19

Mohammad Al Masum Mirdha

Deputy Director (Enforcement)

Phone : 55007147

Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
- ✓ 4. PO to Executive Director (MIS), BSEC