

Bangladesh Securities and Exchange Commission
Securities Commission Bhaban
E-6/C, Agargaon
Sher-e-Bangla Nagar Administrative Area
Dhaka-1207, Bangladesh.

BSEC/Enforcement/2512/2018/109
Dated: 14 March, 2018

By Special Messenger

Stock & Bonds Limited
DSE Member # 199
Swantex Bhaban (3rd floor),
9/I, Motijheel C/A,
Dhaka - 1000.

Sub: Non-compliance of securities laws.-Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2512/2018/60 dated February 8, 2018 based on which the hearing was conducted on February 26, 2018.

The Commission, considering the explanation vide letter dated February 26, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Stock & Bonds Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission-


Md. Shahnaws
Assistant Director

Mob:01816810586

Copy for information:

Chairman's office, BSEC
P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (Enforcement), BSEC
✓ P.O to Executive Director (MIS), BSEC
P.O to Executive Director (SRI), BSEC
P.O to Director (Enforcement), BSEC