



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

HIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2465/2017/546

Dated: December 11, 2018

By Courier Service

Sheltech Brokerage Ltd. (DSE TREC # 120),
Otobi Center (Level-5),
14 Dilkusha C/A, Dhaka-1000.

Attention: Managing Director/CEO


Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2465/2017/453 dated October 28, 2018 based on which the hearing was scheduled on November 22, 2018 and letter on it was rescheduled on November 26, 2018.

The Commission, considering your explanation vide letter dated December 04, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Sheltech Brokerage Ltd. (DSE TREC # 120) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


11.12.18

Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

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