

Bangladesh Securities and Exchange Commission
Securities Commission Bhaban
E-6/C, Agargaon
Sher-e-Bangla Nagar Administrative Area
Dhaka-1207, Bangladesh.

BSEC/Enforcement/2404/2017/362
Date: November 26, 2017

By Courier Service

Client Name: Mr. Rakib Hossain
Client of Salta Capital Limited
Suite-1002, Madhumita Cinema hall Building(9th floor)
158-160, Motijheel, C/A, Dhaka

Client Name: Mr. **Abul Basher Swapan**
Client of **Crest Securities Limited**
57, Purana Paltan (2nd floor), Dhaka-1000

Client Name: Mr. **Md. Jainal Abedin**
Client of ACE Capital Management Service Limited
Room No. 611
Stock Exchange Building
9/F, Motijheel C/A, Dhaka- 1000

Attention: Managing Director/CEO

Sub: Non-compliance of securities laws: -Warning.

This refers to the Commission's show cause cum hearing notice no. **BSEC/Enforcement/2404/2017/254** dated September 10, 2017 based on which the hearing was conducted on September 27, 2017.

The Commission, considering the explanation vide letter dated September 27, 2017 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.


26/11/17

Md. Mustafizur Rahman
Assistant Director

Copy for information:

Executive Director (Surveillance), BSEC
Executive Director (R&D), BSEC
Executive Director (MIS), BSEC
Chairman's Office, BSEC