



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2474/2017/223

By Courier Service

Dated: April 21, 2019

✓ S.N. Securities Ltd. (CSE TREC # 125),
304/B, Mir Arcade, Dewanhat,
Sk. Mujib Road, Chittagong-4100.

Attention: Managing Director/CEO

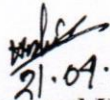
Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2474/2018/58 dated January 29, 2019 based on which the hearing was scheduled on February 18, 2019.

The Commission, considering your explanation vide letter dated February 14, 2019 submitted at the time of hearing, has decided to dispose of the proceedings against S.N. Securities Ltd. (CSE TREC # 125) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


21.04.19

Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

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