



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/2699/2019/102

Dated: 07 March, 2019

Reliance Securities Consultant Ltd.
(CSE TREC holder no. 59)
923/A, Kashfia Plaza (Ground Floor),
MCB Sheikh Mujib Road,
Agrabad, Chittagong.

By Special Messenger

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2699/2019/53 dated January 23, 2019 based on which the hearing was conducted on February 13, 2019.

The Commission, considering your explanation vide letter no. RSCL/Reply/BSEC_Enforcement/2019 dated 06.02.2019 has decided to dispose of the proceedings against Reliance Securities Consultant Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Signature
07.03.19

Mohammad Al Masum Mirdha

Deputy Director (Enforcement)

Phone : 55007147

Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Chittagong Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
- ✓ 4. PO to Executive Director (MIS), BSEC