

**Bangladesh Securities and Exchange Commission**  
**Securities Commission Bhaban**  
**E-6/C, Agargaon**  
**Sher-e-Bangla Nagar Administrative Area**  
**Dhaka-1207, Bangladesh.**

**BSEC/Enforcement/2413/2017/03**  
**January 1, 2018**

**By Special Messenger**

1. Client Name: Hironmoy Dey, BO ID: 1201900012107086 , Stock Broker Name: Rapid Securities Limited (DSE Mem. No. 042) Room # 620 & 621, 9/E , Motijheel C/A, Dhaka - 1000.
2. Client Name: Samin Kumar Dey, BO ID: 1203320006383039, Stock Broker Name: D M R Securities Services Ltd. (DSE Mem. No. 014) Room # 214, Stock Exchange Building, 9/F, Motijheel C/A, Dhaka – 1000
3. Client Name: Md. Iqbal Hossain, BO ID: 1203710045491977 , Stock Broker Name: AB Securities Limited (DSE Mem. No. 201) W W Tower (6th Floor), 68, Motijheel C/A, Dhaka - 1000.
4. Client Name: Md. Golam Mortuza, BO ID: 1203080033123316 , Stock Broker Name: Associated Capital Securities Limited (CSE Mem. No. 063) Makkah Madina Center (MMTC)-9th Floor, Holding No.1582/1674, Plot-78,Agrabad C/A, Chittagong.
5. Client Name: Md. Abdus Sattar, BO ID: 1201600058552287 , Stock Broker Name: Salta Capital Limited (DSE Mem. No. 095) Modhumita Building (9th floor), Room # 1002, 158 - 160, Motijheel C/A, Dhaka - 1000.

**Sub: Non-compliance of securities laws.-Warning.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2413/2017/264 dated September 13, 2017 based on which the hearing was conducted on October 09, 2017.

The Commission, considering the explanation vide letter dated October 09, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission-

  
01.01.18

Md. Shahnaws  
Assistant Director

Mob:01816810586

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**Distribution:**

1. Chief Executive Officer, Rapid Securities Limited (with a request to send the letter to Hironmoy Dey, BO ID: 1201900012107086)
2. Chief Executive Officer, D M R Securities Services Ltd. (with a request to send the letter to Samin Kumar Dey, BO ID: 1203320006383039)
3. Chief Executive Officer, AB Securities Limited (with a request to send the letter to Md. Iqbal Hossain, BO ID: 1203710045491977)
4. Chief Executive Officer, Associated Capital Securities Limited (with a request to send the letter to Md. Golam Mortuza, BO ID: 1203080033123316)
5. Chief Executive Officer, Salta Capital Limited (with a request to send the letter to Md. Abdus Sattar, BO ID: 1201600058552287)

**Copy for information:**

Chairman's office, BSEC  
P.O to Commissioner (Enforcement), BSEC  
P.O to Executive Director (Enforcement), BSEC  
P.O to Director (Enforcement), BSEC