



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2708/2019/ ২১৩

Dated: April 04, 2019

**By Special Messenger**

Prime Bank Securities Limited  
(DSE TREC Holder No. 219)  
Peoples Insurance Bhaban (11th Floor)  
36, Dilkusha C/A,  
Dhaka-1000.

**Attention:** Managing Director/CEO

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2708/2019/76 dated February 13, 2019 based on which the hearing was conducted on March 06, 2019.

The Commission, considering your explanation vide letter no. no. PBSL/COMPLIANCE/BSEC/2019/207 dated 06.03.2019 has decided to dispose of the proceedings against Prime Bank Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

*Al Masum Mirdha*  
04.04.19

**Mohammad Al Masum Mirdha**

Deputy Director (Enforcement)

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Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
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