



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BINA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH
16/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

SEC/Enforcement/1107/2013/101
Dated: April 11, 2017

By Courier Service

Prilink Securities Limited
Unit # 11-C, City Centre (11th Floor) 103
Motijheel C/A, Dhaka-1000

Attention: **Managing Director/CEO**

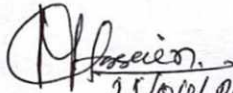
Sub: **Non-compliance of securities related laws. WARNING**

This refers to BSEC's show cause-cum-hearing notice no. SEC/Enforcement/1107/2013/05 dated January 10, 2017 based on which the hearing was conducted on January 19, 2017.

The Commission, considering the explanation vide letter dated January 19, 2017, submitted at the time of hearing, has decided to dispose of the proceedings against Prilink Securities Limited and others concerned by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


15/04/2017

Md. Hossain Khan

Deputy Director

Copy for information:

Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (Law), BSEC
Executive Director (MIS), BSEC
Chairman's Office



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

HBBN BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH
E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

SEC/Enforcement/1107/2013/100

Dated: April 11, 2017

By Courier Service

Mr. Md. Helal Uddin,
Authorized Representative of Prilink Securities Limited
Unit # 11-C, City Centre (11th Floor) 103
Motijheel C/A, Dhaka-1000

Attention: **Managing Director/CEO**


Sub: **Non-compliance of securities related laws. WARNING**

This refers to BSEC's show cause-cum-hearing notice no. SEC/Enforcement/1107/2013/05 dated January 10, 2017 based on which the hearing was conducted on January 19, 2017.

The Commission, considering the explanation vide letter dated January 19, 2017, submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Md. Helal Uddin, Authorized Representative of Prilink Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


11/04/2017

Md. Hossain Khan

Deputy Director

Distribution:

Managing Director/CEO, Prilink Securities Limited (with a request to send the notice to Mr. Md. Helal Uddin, Authorized Representative of Prilink Securities Limited.)

Copy for information:

Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (Law), BSEC
Executive Director (MIS), BSEC
Chairman's Office



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

HIBON DIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH
E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

SEC/Enforcement/1107/2013/99

Dated: April 11, 2017

By Courier Service

Mr. Farid Uddin Ahmed,
M/S.FARID UDDIN AHMED,
203, S.S.K. Road, Feni,
BOID: 1203300034189137, Client Code: 75007

Attention: **Managing Director/CEO**


Sub: **Non-compliance of securities related laws. WARNING**

This refers to BSEC's show cause-cum-hearing notice no. SEC/Enforcement/1107/2013/05 dated January 10, 2017 based on which the hearing was conducted on January 19, 2017.

The Commission, considering the explanation vide letter dated January 19, 2017, submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Farid Uddin Ahmed by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


14/04/2017

Md. Hossain Khan

Deputy Director

Distribution:

Managing Director/CEO, Prilink Securities Limited (with a request to send the notice to the client Mr. Farid Uddin Ahmed, BOID: 1203300034189137, Client Code: 75007)

Copy for information:

Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (Law), BSEC
Executive Director (MIS), BSEC
Chairman's Office