



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/2616/2018/ 450

Dated: 25 October, 2018

Nur-E-Alam Siddique & Company Ltd.
(DSE TREC No. 182)
Room # 510-511 (4th Floor)
Stock Exchange Building
9/F, Motijheel C/A,
Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2616/2018/387 dated October 03, 2018 based on which the hearing was conducted on October 17, 2018.

The Commission, considering your explanation vide letter no. NASCOLHO/BSEC-16102018/1 dated 16.10.18 has decided to dispose of the proceedings against Nur-E-Alam Siddique & Company Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

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25.10.18

Mohammad Al Masum Mirdha

Deputy Director (Enforcement)

Phone : 55007147

Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
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Mr. Geor, AD