



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2713/2019/253

Dated: May 08, 2019

**By Special Messenger**

Nizams Shares & Securities Ltd.  
CSE TREC Holder # 029  
Ample Tower (6<sup>th</sup> Floor), 2315,  
Sk. Mujib Road, Agrabad C/A,  
Chittagong.

**Attention:** Managing Director/CEO

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2713/2019/100 dated March 06, 2019 based on which the hearing was conducted on March 25, 2019 and April 03, 2019.

The Commission, considering your explanation vide letter dated 02.04.2019 has decided to dispose of the proceedings against Nizams Shares & Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

*AM*  
08.05.19

**Mohammad Al Masum Mirdha**

Deputy Director (Enforcement)

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Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Chittagong Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (MIS), BSEC

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