



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

#IBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2529/2018/570 (A)
Dated: December 20, 2018

By Courier Service

Mika Securities Ltd. (DSE TREC # 215),
FR Tower (15th Floor),
32. Kamal Ataturk Avenue,
Banani, Dhaka-1213.

Attention: Managing Director/CEO

Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2529/2018/498 dated November 26, 2018 based on which the hearing was scheduled on December 12, 2018.

The Commission, considering your explanation vide letter dated December 11, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Mika Securities Ltd. (DSE TREC # 215) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Hafiz
20.12.18

Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

Phone: 55007148

e-mail: hafiz@sec.gov.bd

Copy for information:

Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (Law), BSEC
Executive Director (MIS), BSEC
Chairman's Office