



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

HIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2467/2017/477

By Courier Service

Dated: November 14, 2018

Md. Fakhrul Islam Securities Ltd. (DSE TREC # 090),
PBL Tower (7th & 13th Floor),
39 (Old), 17 (New), Gulshan North C/A,
Gulshan-2, Dhaka-1000.

Attention: Managing Director/CEO

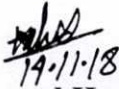
Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2467/2017/394 dated October 18, 2018 based on which the hearing was scheduled on November 07, 2018.

The Commission, considering your explanation vide letter dated November 07, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Md. Fakhrul Islam Securities Ltd. (DSE TREC # 090) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


19/11/18

Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

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Copy for information:

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Executive Director (Surveillance), BSEC

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Executive Director (MIS), BSEC

Chairman's Office