

Bangladesh Securities and Exchange Commission

Securities Commission Bhaban
E-6/C, Agargaon
Sher-e-Bangla Nagar Administrative Area
Dhaka-1207, Bangladesh

BSEC/Enforcement/2413/2017/347
September 11, 2018

By Special Messenger
Fax: 9568175

Client Name: Mr. Bishwajit Das and associates
BOID : 1601880026120606 and 1601880008155471
MTB Securities Limited
(DSE Mem. No. 197)
WW Tower (Level 4)
68 Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

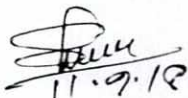
Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC Enforcement/2413/2017/270 dated September 13, 2017 based on which the hearing was conducted on October 09, 2017.

The Commission, considering the explanation vide letter dated October 09, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Bishwajit Das and associates by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission-



Md. Shahnaws
Assistant Director

Mob:01816810586

Copy for information:

Chairman's office, BSEC
P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (Enforcement), BSEC
P.O to Executive Director (MIS), BSEC
P.O to Executive Director (Surveillance), BSEC
P.O to Director (Enforcement), BSEC