

Bangladesh Securities and Exchange Commission  
Securities Commission Bhaban  
E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area  
Dhaka-1207, Bangladesh.

SEC/Enforcement/2424/2017/399  
Date: October 21, 2018

By Courier service

M- Securities Ltd.  
DSE TREC No # 044  
Room # 434 (3rd Floor),  
9/E, Motijheel C/A, Dhaka-1000

**Attention:** Managing Director/CEO

**Sub: Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2424/2017/382 dated October 02, 2018 based on which the hearing was conducted on October 15, 2018.


The Commission, considering the explanation vide letter dated October 15, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against M-Securities Ltd, Mr. Md. Kauser Ali Mondol, Authorized representative of M-Securities Limited & Ms. Jakia Chowdhury Client of M-Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

  
Md. Mustafizur Rahman  
Assistant Director  
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Cell-01720169842

Copy for information:  
Managing Director, Dhaka Stock Exchange Ltd.  
Executive Director (SRI), BSEC  
Executive Director (R&D), BSEC  
✓ Chairman's Office, BSEC

  
22.10.18  
D(MIS)  
23.10.18  
Mr. Gov. AD