

BSEC/Enforcement/2712/2019/ ২৩৩

Dated: April 21, 2019

**By Special Messenger**

Lotus Shares & Securities Ltd.  
CSE TREC Holder # 112  
Jakir Mansion (2nd Floor),  
1693, Sk. Mujib Road,  
Chowmuhani, Chittagong.

**Attention:** Managing Director/CEO

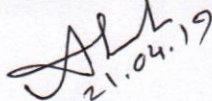
**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2712/2019/97 dated March 06, 2019 based on which the hearing was conducted on March 25, 2019.

The Commission, considering your explanation vide letter dated 25.03.2019 has decided to dispose of the proceedings against Lotus Shares & Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
21.04.19

**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Chittagong Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
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