



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/2473/2017/222**

**By Courier Service**

**Dated: April 21, 2019**

Lotus Shares & Securities Ltd. (CSE TREC # 112),  
Jakir Mansion (2<sup>nd</sup> Floor),  
1696, Sk. Mujib Road,  
Chittagong-4100.

**Attention:** Managing Director/CEO

Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2473/2017/11 dated January 03, 2019 based on which the hearing was scheduled on January 16, 2019.

The Commission, considering your explanation vide letter dated January 16, 2019 submitted at the time of hearing, has decided to dispose of the proceedings against Lotus Shares & Securities Ltd. (CSE TREC # 112) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

*Hafiz*  
21.04.19

**Hafiz Mohammed Harunur Rashid**

Deputy Director (Enforcement)

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