



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/2597/2018/৫৫৭

Dated: 24 October, 2018

Kabir Securities Limited

CSE TREC Holder # 056
IFCO Complex (4th Floor),
1147/A, GEC Circle, CDA Avenue,
East Nasirabad,
Chittagong.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2597/2018/273 dated July 26, 2018 based on which the hearing was conducted on August 06, 2018.

The Commission, considering your explanation vide letter reference no. KSL/BSEC/Show Cause/180805 dated 05.08.18 and KSL/BSEC/Show Cause/181018 dated 18.10.18 has decided to dispose of the proceedings against Kabir Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


24.10.18

Mohammad Al Masum Mirdha

Deputy Director (Enforcement)


Phone : 55007147

Copy for information:

1. Managing Director, Chittagong Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (MIS), BSEC


07.11.18

D(MIS)


07.11.18

MR. GONDAL, AD