



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2723/2019/277

Dated: May 28, 2019

By Special Messenger

IDLC Securities Limited
(DSE TREC No. 58)
D R Tower (4th Floor), 65/2/2,
Bir Protik Gazi Golam Dostogir Road,
Purana Paltan,
Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2723/2019/182 dated April 01, 2019 based on which the hearing was conducted on April 24, 2019.

The Commission, considering your explanation vide letter no. IDLCSL/2019/04/94 dated 24.04.2019 has decided to dispose of the proceedings against IDLC Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

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28.05.19

Mohammad Al Masum Mirdha

Deputy Director (Enforcement)

Phone : 55007147

Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
- ✓ 4. PO to Executive Director (MIS), BSEC

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Mr. Gour, AD