



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2602/2018/ 175
Date: - March 27, 2019

By Courier Service

Client Name: Mrs. Soma Nag
(BO Id No. 1201770002191901)
Client of Hazi Ahmad Brothers Securities Limited

Attention: Managing Director/CEO

Sub: Warning to ensure compliance of all Securities related law in future.

This refers to the Commission's show cause cum hearing notice no. **BSEC/Enforcement/2602/2018/292** dated August 07, 2018 based on which the hearing was conducted on August 27, 2018.

The Commission, considering the explanation vide letter dated August 27, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Mrs. Soma Nag, Client of Hazi Ahmad Brothers Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.

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Copy for information:
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