

BSEC/Enforcement/2715/2019/232

Dated: April 21, 2019

**By Special Messenger**

Hallmark Securities Limited  
CSE TREC Holder # 117  
Samabaya Bank Bhaban (7th Floor),  
9-D, Motijheel C/A,  
Dhaka-1000.

**Attention:** Managing Director/CEO

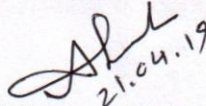
**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2715/2019/101 dated March 06, 2019 based on which the hearing was conducted on March 25, 2019.

The Commission, considering your explanation vide letter no. 100/19-94 dated 13.03.2019 has decided to dispose of the proceedings against Hallmark Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.



Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
21.04.19  
**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Chittagong Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
- ✓ 4. PO to Executive Director (MIS), BSEC

  
21.4.2019  
D (MIS)  
  
21.4.19  
Mr. Govor, AD