

Bangladesh Securities and Exchange Commission
Securities Commission Bhaban
E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area
Dhaka-1207, Bangladesh

BSEC/Enforcement/2333/2016/303
Dated: July 03, 2019

By Courier Service

Green Delta Securities Ltd (DSE Membership No.59)
Hadi Mansion (6th Floor)
2, Dilkusha Commercial Area, Dhaka

Attention: Managing Director/CEO

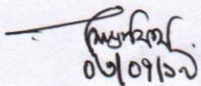
Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2333/2016/265 dated May 23, 2019 based on which the hearing was conducted on June 17, 2019.

The Commission, considering the explanation vide letter dated June 17, 2019 which was submitted at the time of hearing, has decided to dispose of the proceedings against Green Delta Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.


For Bangladesh Securities and Exchange Commission


09/09/19


Md. Mustafizur Rahman
Assistant Director (Enforcement)
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Copy for information:

Managing Director, Dhaka Stock Exchange Ltd.
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC
Chairman's Office, BSEC


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Mr. Gour, AD