



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

HBBN BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2410/2017/107

Dated: March 13, 2018

By Courier Service

Globe Securities Limited (DSE TREC#189)
Room#505, 801 & 803 (4th & 7th floor),
Samabaya Bank Bhaban,
9/D Motijeel C/A, Dhaka-1000.

Attention: Managing Director/CEO

Sub: **Non-compliance of securities related laws. WARNING**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2410/2017/209 dated July 31, 2017 based on which the hearing was scheduled on August 23, 2017.

The Commission, considering your explanation vide letter dated August 23, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Globe Securities Limited (DSE TREC#189) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Harunur Rashid
13.03.18

Hafiz Mohammed Harunur Rashid

Deputy Director

Copy for information:

Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (Law), BSEC
Executive Director (MIS), BSEC
Chairman's Office