



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2270/2016/391
August 31, 2016

By Special Messenger

Fax:88-02-9572169

Fareast Finance & Investment Limited
Eunoos Centre (8th level)
52-53 Dilkusha C/A
Dhaka-1000

Attn: Managing Director/CEO

Subject: Warning: Non compliance with the Securities laws in connection with Record Date

Dear Sir,

This refers to BSEC's show cause-cum-hearing notice no. BSEC/Enforcement/2270/2016/288 dated July 13, 2016 and the accused's explanation letter no. FFIL/FS-005(3)/2016/2820 dated July 27, 2016 submitted to the Commission.

The Commission, considering the accused's explanation, has decided to dispose off the proceedings against it by placing on record the Commission's dissatisfaction on the defaults/contraventions made by it with a warning to ensure compliance of all securities related laws in future.

This disposal does not absolve Fareast Finance & Investment Limited from its' lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan

Deputy Director (Enforcement)

Distribution:

Managing Director, Dhaka Stock Exchange Limited
Managing Director, Chittagong Stock Exchange Limited

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P.O to Executive Director (SRMIC), BSEC
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