



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2738/2019/279

Dated: June 12, 2019

**By Special Messenger**

FBL Securities Limited  
(DSE TREC No. 247)  
Rangs Hai Legacy, (6<sup>th</sup> Floor)  
Plot # 13, Sohrawardi Avenue  
Block -K, Baridhara Model Town  
Dhaka-1212

**Attention:** Managing Director/CEO

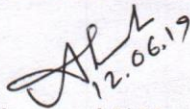
**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2738/2019/243 dated May 05, 2019 based on which the hearing was conducted on May 22, 2019.

The Commission, considering your explanation vide letter no. FBLSLS/2019/1435 dated 22.05.2019 has decided to dispose of the proceedings against FBL Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
12.06.19

**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. ~~PO~~ to Executive Director (SRI), BSEC.
- ✓ 4. PO to Executive Director (MIS), BSEC