

Bangladesh Securities and Exchange Commission
Securities Commission Bhaban
E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area
Dhaka-1207, Bangladesh.

BSEC/Enforcement/2418/2017/398
Dated: October 21, 2018

By Courier service

EBL Securities Limited
(DSE Trec No. 26)
Plot-59 (1st Floor),
Motijheel C/A, Dhaka- 1000.

Attention: Managing Director/CEO

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2418/2017/380 dated October 02, 2018 based on which the hearing was conducted on October 15, 2018.


The Commission, considering the explanation vide letter no. EBLSL/1611/2018 dated October 15, 2018, has decided to dispose of the proceedings against EBL Securities Limited & Mr. Mohammad Kamal Hossain, Authorized Representative of EBL Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.


Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


Md. Mustafizur Rahman
Assistant Director
Email-mustafiz@sec.gov.bd
Cell-01720169842

Copy for information:
Managing Director, Dhaka Stock Exchange Ltd.
Executive Director (SRI), BSEC
Executive Director (R&D), BSEC
Chairman's Office, BSEC


22, 10, 18
D (MIS)


23, 10, 18
Mr. Gaur, AD