

Bangladesh Securities and Exchange Commission  
Securities Commission Bhaban  
E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area  
Dhaka-1207, Bangladesh.

SEC/Enforcement/24082017/467  
Dated: November 04, 2018

By Courier Service

E-Securities Ltd.  
DSE Trec No.66  
Paramount Heights (15th floor), 65/2/1,  
Box Culvert Road, Purana Paltan, Dhaka-1000

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2408/2017/391 dated October 16, 2018 based on which the hearing was conducted on October 24, 2018.

The Commission, considering your explanation vide letter no- ESL/Operations/2018/717 dated- October 24, 2018 has decided to dispose of the proceedings against E-Securities Limited & Mr. Md. Alauddin Shekh, Authorized Representative of E-Securities Limited and Ms. Lipy Sultana Karim, sponsor/director of NFML Client of E-Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.



Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.



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07.11.18  
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Mr. Geor, AD