



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

জীবন বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ।
সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাও, শেরে বাংলা নগর, ঢাকা

BSEC/Enforcement/2585/2018/268

Dated: 25 July, 2018

Dhanmondi Securities Limited
DSE TREC Holder # 98
Suite: 903(8th Floor), Modhumita Building,
158-160 Motijheel C/A,
Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2585/2018/220 dated June 05, 2018 based on which the hearing was conducted on June 27, 2018.

The Commission, considering your explanation vide letter No. DSL/M-98/1889/2018 dated 25.06.18 has decided to dispose of the proceedings against Dhanmondi Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.


Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.


For Bangladesh Securities and Exchange Commission,


Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (Registration), BSEC.
5. PO to Executive Director (MIS), BSEC


30.7.18
D (MIS)


31.7.18

Mr. Gour, AD