



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাও, শেরে বাংলা নগর, ঢাকা

BSEC/Enforcement/2395/2017/331

Dated: November 09, 2017

Dhaka Securities Ltd.
DSE TREC holder No.239
WW Tower (Level-15, south side)
68, Motijheel C/A
Dhaka-1000.

Attention: Managing Director/CEO

Subject: Non-compliance of securities laws: Warning.

This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2395/2017/236** dated August 07, 2017 based on which the hearing was conducted on August 23, 2017.

The Commission, considering your explanation vide letter No.DSL/Gen/2017/383 dated August 22,2017 has decided to dispose of the proceedings against Dhaka Securities Ltd.by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Yusuf Bhuiyan
Deputy Director (Enforcement)
Phone:

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (Registration), BSEC.
5. PO to Executive Director (MIS), BSEC