



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

HBBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2456/2017/532

By Courier Service

Dated: December 05, 2018

DMR Securities Services Ltd. (DSE TREC # 014),  
Stock Exchange Building, Room#214 (1<sup>th</sup> Floor),  
9/F Motijee C/A, Dhaka-1000.

**Attention:** Managing Director/CEO

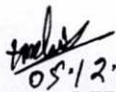
Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2456/2017/438 dated October 24, 2018 based on which the hearing was scheduled on November 07, 2018 and letter on it was reschedule on November 26, 2018.

The Commission, considering your explanation vide letter dated November 26, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against DMR Securities Services Ltd. (DSE TREC # 014) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

  
05.12.18

**Hafiz Mohammed Harunur Rashid**

Deputy Director (Enforcement)

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**Copy for information:**

Commissioner (Enforcement), BSEC

Executive Director (Surveillance), BSEC

Executive Director (Law), BSEC

Executive Director (MIS), BSEC

Chairman's Office