



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

By Courier Service

BSEC/Enforcement/2621/2018/২০৭
April 02, 2019

Commerce Bank Securities and Investment Ltd.
DSE TREC No.180
2nd Floor, Taranga Complex,
19 Rajuk Avenue, Motijheel, Dhaka-1000

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2621/2018/446 dated October 24, 2018 based on which the hearing was conducted on November 12, 2018.

The Commission, considering the explanation vide letter dated November 12, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Commerce Bank Securities and Investment Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission-

Md. Saiful Islam
Assistant Director
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Ph. no. 55007131Ext.1711

Copy for information:

Chairman's office, BSEC
P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (Enforcement), BSEC
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P.O to Director (Enforcement), BSEC