

BSEC/Enforcement/2611/2018/64

Dated: 05 February, 2019

City Brokerage Limited
(DSE TREC # 145 & CSE TREC # 133)
City Centre, Unit # 12A & 12B (12th floor)
Level-13, 90/1
Motijheel C/A, Dhaka-1000.

By Special Messenger

Attention: Managing Director/CEO

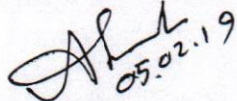
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2611/2018/09 dated January 02, 2019 based on which the hearing was conducted on January 16, 2019.

The Commission, considering your explanation vide letter CBL/ICC/2019/01-0044 dated 14.01.19 has decided to dispose of the proceedings against City Brokerage Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (MIS), BSEC

M/F