

Bangladesh Securities and Exchange Commission
Securities Commission Bhaban
E-6/C, Agargaon
Sher-e-Bangla Nagar Administrative Area
Dhaka-1207, Bangladesh.

BSEC/Enforcement/2413/2017/04
January 1, 2018

By Special Messenger
Fax: 88 02 8825236

City Brokerage Ltd.
(DSE Mem. No. 145)
Taj Casilina, SW(1)4, Flat 4/D(3rd Floor)
25, Gulshan Avenue, Gulshan-1,
Dhaka-1212

Attention: Managing Director/CEO

Sub: Non-compliance of securities laws.-Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2413/2017/265 dated September 13, 2017 based on which the hearing was conducted on November 06, 2017.

The Commission, considering the explanation vide letter dated November 06, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against City Brokerage Ltd by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission



01.01.18

Md. Shahnaws
Assistant Director

Mob:01816810586

Copy for information:

Chairman's office, BSEC
P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (Enforcement), BSEC
P.O to Director (Enforcement), BSEC